0080-04-13-.01 SCOPE.

(1) This chapter applies to any person who operates in the state any kitchen, factory, warehouse, establishment, or vehicle in which food is manufactured, processed, packed, held, or transported for introduction into commerce.

(2) Persons licensed under this chapter shall be responsible for operations conducted under their license until either the applicable license expires, or the department receives written notification from the licensee desiring to terminate the license. The department shall not refund fees for early termination of any license issued under this chapter.

(3) Licenses issued under this chapter are not transferable from person to person or location to location.


0080-04-13-.02 DEFINITIONS.


(2) When used in this chapter, unless the context requires otherwise:

(a) Act means the Tennessee Food, Drug and Cosmetic Act, compiled at T.C.A. §§ 53-1-101, et seq.;

(b) Commercial kitchen means a self-contained food manufacturer that is used only to manufacture, process, or pack food in commerce and is not located within a residence;

(c) Community or shared kitchen means a commercial kitchen used by multiple persons to manufacture, process, or pack food in commerce;

(d) Dietary supplement, food supplement, or words of similar import mean a product taken by mouth that contains a dietary ingredient; is intended to supplement the diet; and is not intended for use as a drug under the Act;

(e) Dietary ingredient means one or more of the following components when used in a dietary supplement: vitamins, minerals, herbs or other botanicals, amino acids, enzymes, tissues from organs or glands, concentrates, metabolites, constituents; extracts;
(f) Food means those articles as defined under the Act and includes dietary supplements; and

(g) Potentially hazardous food has the same meaning as T.C.A. § 53-1-104(1)(D).


0080-04-13-.03 LICENSE APPLICATION AND FEES.

(1) All persons to whom these rules apply shall obtain a license in accordance with the Tennessee Food, Drug and Cosmetic Act and this chapter.

(2) Application for issuance of any license under this chapter shall be made on forms provided by the department, which shall be completed in full and shall include:

(a) Name of the applicant;

(b) Proof of the applicant’s registration in its state of incorporation or business license issued by a local governmental authority;

(c) Contact information for applicant, to include name of person legally responsible for applicant’s operations, telephone number, email address, address of the principal place of business, and address of the facility to be licensed;

(d) Name and address of applicant’s registered agent for service of process, if any.

(3) Licensees shall notify the department in writing of any changes to the information or contents of an application within 30 days after the change takes place.

(4) Applicants for licensure shall include with their application payment of an annual license fee as appropriate for the following categories of licenses. Fees designated under this rule shall be assessed in accordance with T.C.A. § 43-1-703(f) as it may be amended from time to time.

(a) Food Manufacturer License. A food manufacturer license is required per person and per facility for any kitchen, factory or establishment in the state where food is manufactured, processed, or packed for introduction into commerce. Food manufacturer license fees are determined in accordance with the size of the manufacturer’s facility and the degree of risk the manufacturer poses for outbreak of food borne illness. An establishment greater than 10,000 square feet must obtain a Large Facility license. An establishment equal to or smaller than 10,000 square feet must obtain a Small Facility license. Determination of a manufacturer’s risk for outbreak of food borne illness is made by the department based on the nature of the manufacturer’s operations. Fees applicable for a food manufacturer license are as follows:

1. Food Manufacturer License, Large Facility – Risk Level 1: Tier 11 license fee;
2. Food Manufacturer License, Small Facility – Risk Level 1: Tier 10 license fee;
3. Food Manufacturer License, Large Facility – Risk Level 2: Tier 7 license fee;
4. Food Manufacturer License, Small Facility – Risk Level 2: Tier 5 license fee;
5. Food Manufacturer License, Large Facility – Risk Level 3: Tier 3 license fee;

(b) Food Warehouse License. A food warehouse license is required for any warehouse or establishment in the state where food is held for introduction into commerce. A food warehouse license is not required for any establishment licensed as a food manufacturer under this chapter or as a food establishment under R. 0080-04-09. Food warehouse license fees are determined in accordance with the degree of risk the warehouse poses for outbreak of food borne illness. This determination is made by the department based on the nature of the warehouse’s operations. Fees applicable for a food warehouse license are as follows:

1. Food Warehouse License, Risk Level 1: Tier 11 license fee;
2. Food Warehouse License, Risk Level 2: Tier 7 license fee;
3. Food Warehouse License, Risk Level 3: Tier 3 license fee.

(5) An applicant for licensure under this chapter shall remit its application and annual license fee to the department on or before July 1 of each year. All licenses issued under this chapter shall expire on June 30 following their issuance. If an applicant for renewal fails to remit payment of the license fee on or before July 16 of the licensure year for which renewal is sought, the applicant shall also be required to pay a late charge assessed under T.C.A. § 43-1-703 prior to renewal of the applicant’s license.

(6) The department may deny any application for licensure that is not completed in accordance with this rule.


0080-04-13-.04 CERTIFICATES OF FREE SALE.

The fee for a Certificate of Free Sale is a Tier 2 fee under T.C.A. § 43-1-703(f). No certificate of free sale shall be issued prior to receipt of the certificate fee.


0080-04-13-.05 STANDARDS FOR MANUFACTURING AND PROCESSING.

(1) Acidified Foods. The department adopts by reference, as if fully stated herein, the federal standards for acidified foods, compiled at 21 C.F.R. 108.25 and 21 C.F.R. 114, as either section or part may be amended from time to time.

(2) Current Good Manufacturing Practice, Hazard Analysis, and Risk-Based Preventive Controls for Human Food. The department adopts by reference, as if fully stated herein, the federal standards for good manufacturing, hazard analysis, and risk-based preventive controls, compiled at 21 C.F.R. 117, subparts A and B, as either subpart may be amended from time to time.

(3) Current Good Manufacturing Practice in Manufacturing, Packaging, Labeling, or Holding Operations for Dietary Supplements. The department adopts by reference, as if fully stated herein, the federal standards for good practice in manufacturing, packaging, labeling, or
(Rule 0080-04-13-.05, continued)

    holding dietary supplements, compiled at 21 C.F.R. 111, as the part may be amended from
time to time.

(4) Fish and Fishery Products. The department adopts by reference, as if fully stated herein, the
federal standards for fish and fishery products, compiled at 21 C.F.R. 123, subparts A and C,
as either subpart may be amended from time to time.

(5) Thermally Processed Low-Acid Foods Packaged in Hermetically Sealed Containers. The
department adopts by reference, as if fully stated herein, the federal standards for
hermetically sealed low-acid foods, compiled at 21 C.F.R. 108.35 and 21 C.F.R. 113, as
either section or part may be amended from time to time.

Authority:  T.C.A. §§ 4-3-203 and 53-1-207. Administrative History:  Original rule filed December 23,
2015; effective March 22, 2016.

0080-04-13-.06  STANDARDS FOR LABELING (RESERVED).

0080-04-13-.07  REPEALED.

Authority:  T.C.A. §§ 4-3-203 and 53-1-207. Administrative History:  Original rule filed December 23,