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 4/10/2024

Notice of Rulemaking Hearing

Hearings will be conducted in the manner prescribed by the Uniform Administrative Procedures Act, T.C.A. § 4-5-204. For questions and copies of the notice, contact the person listed below.

Agency/Board/Commission:	Department of Health
Division:	Board of Chiropractic Examiners
Contact Person:	Michael Varnell, Associate Counsel Holt Whitt, Assistant Commissioner for Legislative Affairs
Address:	665 Mainstream Drive, Nashville, Tennessee 37243 710 James Robertson Parkway, Nashville, TN
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Any Individuals with disabilities who wish to participate in these proceedings (to review these filings) and may require aid to facilitate such participation should contact the following at least 10 days prior to the hearing:

ADA Contact:	ADA Coordinator
Address:	710 James Robertson Parkway, Andrew Johnson Building, 5th Floor, Nashville, Tennessee 37243
Phone:	(615) 741-6354
Email:	<u>Marci.Martinez@tn.gov</u>

Hearing Location(s) (for additional locations, copy and paste table)

Address 1:	Metro Center		
Address 2:	665 Mainstream Drive, Poplar Conference Room		
City:	Nashville		
Zip:	37243		
Hearing Date:	08/08/2024		
Hearing Time:	9:00 A.M. X CST/CDT EST/EDT		

Additional Hearing Information:

Revision Type (check all that apply):

- X Amendment
- X New
- __ Repeal

Rule(s) (ALL chapters and rules contained in filing must be listed here. If needed, copy and paste additional tables to accommodate multiple chapters. Please make sure that ALL new rule and repealed rule numbers are listed in the chart below. Please enter only **ONE** Rule Number/Rule Title per row)

Chapter Number	Chapter Title	
0260-02	General Rules Governing Chiropractic Examiners	
Rule Number	Rule Title	
0260-0201	Definitions	
0260-0202	Scope of Practice	
0260-0203	Necessity of Certification	
0260-0204	Qualifications for Licensure	
0260-0205	Procedures for Licensure	
0260-0207	Application Review, Approval, Denial, Interviews	
0260-0208	Examinations	
0260-0211	Retirement and Reactivation of License	
0260-0212	Continuing Education	
0260-0213	Professional Ethics	
0260-0215	Disciplinary Actions, Civil Penalties, Screening Panels, Subpoenas, and Assessment of Costs	
0260-0219	Board Members, Officers, Consultants, Records, Declaratory Orders, and Advisory Rulings	
0260-0220	Advertising	
0260-0221	Chiropractic Preceptor Program	
0260-0222	Substantiation of Services	
0260-0225	Free Health Clinic and Volunteer Practice Requirements	
0260-0227	The Practice of Telehealth or Telemedicine	

Chapter 0260-02 General Rules Governing Chiropractic Examiners

Amendments

Rule 0260-02-.01 Definitions is amended by deleting paragraphs (1), (2), (3), (5), and (6) in their entirety and substituting instead the following language, and is further amended by adding new paragraphs (9), (25), (26), and (27), and renumbering the remaining paragraphs accordingly, and is further amended by deleting newly renumbered paragraphs (10), (15), (18), (19), (21), (22), and (28) in their entirety and substituting instead the following language, so that as amended, the new paragraphs shall read:

- (1) Adjustment An interchangeable term with manipulation. A manual or mechanical passive therapeutic intervention using a direct thrust that may be administered with a high or low velocity, short or long lever, or high or low amplitude, directed to specific structures of the frame to improve joint function, neurological integrity, joint mobility or alignment. These interventions may be applied to all joints of the body as deemed appropriate.
- (2) Advertising Includes, but is not limited to business solicitation, with or without limiting qualifications, in a card, sign, or device issued to a person; in a sign or marking in or on any building; or in any newspaper, magazine, directory, or other printed matter. Advertising also includes business solicitations communicated by individual, radio, video, or television broadcasting, internet, social media or any other means designed to secure public attention.
- (3) Applicant Any individual seeking licensure by the Board who has submitted an official application and paid the application fee.
- (5) Board Administrative Office The office of the Unit Director assigned to the Board located at 665 Mainstream Drive, Nashville, TN 37243.
- (6) Board Designee Any person who has received a written delegation of authority from the Board to perform board functions subject to review and ratification by the full board where provided by these rules.
- (9) Differential Diagnosis Defined by Tenn. Code Ann. 63-4-101(a)(1)(A).
- (10) Division The Division of Health Related Boards, Tennessee Department of Health, from which the Board receives administrative support.
- (15) He/she Him/her When "he" appears in the text of these rules, the word represents both the feminine and masculine.
- (18) Manipulation An interchangeable term with adjustment. A manual or mechanical passive therapeutic intervention using a direct thrust that may be administered with a high or low velocity, short or long lever, or high or low amplitude, directed to specific structures of the frame to improve joint function, neurological integrity, mobility or alignment. These interventions may be applied to all joints of the body as deemed appropriate.
- (19) National Board Means the National Board of Chiropractic Examiners (NBCE).
- (21) Registrant Any person who has been lawfully issued a license.
- (22) Rehabilitation Care Corrective care provided to enhance and restore normal physiologic and/or functional ability to those with ailments, impairments, physical disorders or disabilities for the restoration and maintenance of health.
- (25) Supportive Care Support services and treatment given to enhance, prevent, control, or relieve complications, discomfort, and side effects and to improve the patient's comfort and quality of life.
- (26) Telehealth/Telemedicine Defined by Tenn. Code Ann. § 63-1-155(a)(3).
- (27) Telehealth Provider Means a healthcare service provider engaged in the delivery of healthcare services through telehealth.
- (28) Therapeutic Care An approved adjustive, manipulative, manual, nutritional, therapeutic or rehabilitative treatment SS-7037 (March 2020) 3 RDA 1693

administered or ordered by a chiropractic physician. , These interventions may utilize physical agent, mechanical, chemical, electrical, or thermal methods and treatments administered by or ordered by a chiropractic physician. These interventions may be applied throughout the joints and soft tissue regions of the body as deemed appropriate.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.02 Scope of Practice is amended by deleting subparagraphs (2)(a), (2)(b), (2)(c), and (2)(e) in their entirety and substituting instead the following language, and is further amended by adding new subparagraphs (2)(f), (2)(g), and (2)(h) and re-lettering the remaining subparagraphs accordingly, so that as amended, the new subparagraphs shall read:

- (2) As a portal of entry provider, a chiropractic physician has authority to make a differential diagnosis that (a) may include the use of patient history, examination techniques, lab analysis and analytical instruments for the purpose of determining vital signs and screening of health status, orthopedic and neurological testing, range of motion and muscles testing and diagnostic evaluation and/or imaging of the human body that may be revealed in a state of pathology, as a basis for making clinical judgments as to the patient's condition, degree or nature of treatment needed and management and rehabilitation of the human body which is in the opinion of the provider, appropriate for the support, restoration and maintenance of health.
 - (b) A chiropractic physician has the authority to utilize physical agent modalities and perform an adjustment, manipulation, manual therapy, dry needling, rehabilitation or treatments which may include physical therapeutic care services interventions to the human frame and/or soft tissues for the restoration and/or supportive care and/or maintenance of health.
 - The chiropractic physician's responsibility for patient care, case management, and the protection of the (c) patient includes the authority to make a proper referral to a healthcare provider for consultation or collaborative care, and also for treatments, therapeutic procedures, recommendations, recording and reporting to third-party payers, preparing narratives, giving of depositions and in-court testimony as an expert witness and determination of impairment ratings.
 - (e) The scope of practice of a chiropractic physician includes the ordering of imaging as defined in Tenn. Code Ann. § 63-1-101(a)(1)(B)(ii, from a licensed or certified laboratory, analysis of blood, urine, or other bodily fluids, secretions or excretions, for the diagnosis and management of the patient.
 - (f) The scope of practice of a chiropractic physician includes the performance of X-rays and other non-invasive diagnostic procedures as defined in Tenn. Code Ann. § 63-1-101(a)(1)(B)(iii).
 - (g) The scope of practice of a chiropractic physician includes the ordering, from a licensed or certified laboratory, analysis of blood, urine, or other bodily fluids, secretions or excretions, for the diagnosis and management of the patient.
 - The scope of practice includes the use of "telehealth" also known as "telemedicine" for the purpose of (h) diagnosis, consultation, or treatment of a patient in another location where there may be no in-person exchange. As a telehealth provider, services provided through a telehealth encounter shall comply with rules promulgated by the Board. Telehealth providers shall be held to the same standard of care as healthcare services providers providing the same healthcare service through in-person encounters.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-121, 63-4-122, 63-4-123.

Rule 0260-02-.03 Necessity of Certification is amended by deleting paragraphs (1), (2), (3), and (4) in their entirety and substituting instead the following language, so that as amended, the new paragraphs shall read:

(1) Prior to the engagement of the practice of chiropractic in Tennessee, a person must hold a current Tennessee license.

It is unlawful for any person who is not licensed in the manner prescribed in T.C.A. §§ 63-4-101, 3-4-101 et seq. to (2) SS-7037 (March 2020) 4 RDA 1693

represent himself/herself as a licensed chiropractic physician chiropractor or to hold himself/herself out to the public as being licensed by means of using a title on signs, mailboxes, address plates, stationary, announcements, telephone listings, calling cards, or other instruments of professional identification.

- (3) Use of Titles Any person who possesses a valid, current and active license issued by the Board that has not been suspended or revoked has the right to use the titles "chiropractor," "chiropractic physician" and "doctor of chiropractic," to use the acronym "D.C.," and to practice chiropractic, as defined in T.C.A. § 63-4-101. Any person licensed by the Board to whom this rule applies must use one of the titles authorized by this rule in every "advertisement" [as that term is defined in Rule 0260-02-.20(2)(a)] he or she publishes or the failure to do so will constitute an omission of a material fact which makes the advertisement misleading and deceptive and subjects the licensee to disciplinary action pursuant to T.C.A. § 63-4-114(4), (13), and (16).
- (4) Chiropractic is one of the healing arts and as such the practice of which is restricted to those persons credentialed by the Board. Persons engaging in the practice of chiropractic without being credentialed are in violation of T.C.A. § 63-1-123.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.04 Qualifications for Licensure is amended by deleting subparagraphs (1)(a), (1)(c), (2)(a), (2)(d), and part (1)(b)1 in their entirety and substituting instead the following language, so that as amended, the new subparagraphs and part shall read:

- (1) (a) Provide evidence that he/she is well regarded in moral character and professional ethics (Rule 0260-02-.05(l)(g)).
 - (b) 1. Provide proof of attendance at a chiropractic college for four (4) school years of not less than nine (9) months each and graduation from a chiropractic college having status with the Commission on Accreditation of the Council on Chiropractic Education, or its successor or graduation from an international chiropractic college approved by the Board; and
 - (c) Examination. Evidence of completing the NBCE Examination, pursuant to Rule 0260-02-.08(1).
- (2) (a) Provide evidence that he is well regarded in moral character and professional ethics as provided in subparagraph 0260-02-.05(1)(g).
 - (d) Provide proof of successful completion of the Special Purposes Examination for Chiropractors (SPEC) as administered by the NBCE or its successor pursuant to Rule 0260-02-05(2)(I).

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.05 Procedures for Licensure is amended by deleting subparagraphs (1)(a), (1)(b), (1)(c), (1)(d), (1)(f), (1)(h), (1)(n), (2)(a), (2)(b), (2)(c), (2)(d), (2)(k), (2)(m), and parts (2)(I)1 and (2)(I)2 in their entirety and substituting instead the following language, so that as amended, the new subparagraphs and parts shall read:

- (1) (a) An application packet shall be requested from the Board's administrative office.
 - (b) An applicant shall respond truthfully and completely to every question or request for information contained in the application form and submit it along with all fees required by the form and these rules to the Board's administrative office.
 - (c) Applications will be accepted throughout the year, and applicants shall be required to pass examinations required in Rule 0260-02-.08.
 - (d) An applicant shall submit with his application a passport type photograph. The photo must be signed by the applicant.

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- (f) It is the applicant's responsibility to request that documentation be submitted, directly from the applicant's chiropractic school to the Board's administrative office, of graduation from a chiropractic educational institution in compliance with T.C.A. § 63-4-108(2). The chiropractic institution granting the degree of Doctor of Chiropractic must have been accredited with the commission on accreditation of the council on chiropractic education or its successor or an international chiropractic college approved by the Board at the time the degree was granted. The documentation must show that the degree has been conferred.
- (h) An applicant shall request that his NBCE scores be submitted directly to the Board's administrative office from the testing agency.
- (n) Application review and licensure decisions shall be governed by Rule 0260-02-.07.
- (2) (a) An application packet shall be requested from the Board's administrative office.
 - (b) An applicant shall respond truthfully and completely to every question or request for information contained in the application form and submit it along with all fees required by the form and these rules to the Board's administrative office.
 - (c) Applications will be accepted throughout the year. Supporting documents requested in these instructions must be received in the Board office within 60 days of receipt of the application by the Board Administrative Office or the file will be deemed abandoned and closed.
 - (d) An applicant shall submit with his application a signed and notarized passport type photograph taken within the preceding 12 months. (The photo is to be signed by the applicant and the notary seal must be stamped on the back of the photograph).
 - (k) Application review and licensure decisions shall be governed by Rule 0260-02-.07.
 - (I) 1. An individual who possesses a valid unrestricted license to practice chiropractic in another state or other regulated jurisdiction for a period of two (2) full years will not be required to have passed Parts 1, 2, 3, and 4 and the physiotherapy examination administered by the NBCE.
 - 2. An individual who possesses a valid unrestricted license to practice chiropractic in another state or other regulated jurisdiction for a period of two (2) full years shall provide proof of successful completion of the Special Purposes Examination for Chiropractors (SPEC) as administered by the NBCE.
 - (m) All other reciprocity applicants will be required to have passed the NBCE Examination as a pre-requirement pursuant to Rule 0260-02-.08.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.07 Application Review, Approval, Denial, Interviews is amended by deleting paragraphs (1), (2), (3), (5), (6), (7), and subparagraphs (5)(a), (5)(d), (8)(b) and (10)(b) in their entirety and substituting instead the following language, so that as amended, the new paragraphs and subparagraphs shall read:

- (1) An application packet shall be requested from the Board's administrative office.
- (2) Review of all applications to determine whether or not the application file is complete may be delegated to the Board's Unit Director, provided that approval of all applications is made and ratified by the Board.
- (3) If an application is incomplete when received in the Board's administrative office, the applicant will be notified of the deficiency. The requested information must be received in the Board's administrative office within (60) days of the request.
- (5) If a completed application has been denied and ratified as such by the Board the action shall become final and the

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following shall occur:

- (a) A notification of the denial shall be sent by the Board's administrative office by certified mail. return receipt requested. Specific reasons for denial will be stated such as incomplete information, unofficial records, examination failure or other matters judged insufficient for licensure, and such notification shall contain all the specific statutory or rule authorities for the denial.
- (d) An applicant may be granted a contested case hearing if licensure denial is based on an objective, clearly defined criteria only if after review and attempted resolution by the Board's administrative staff, the licensure application can not be approved and the reasons for continued denial present a genuine issue of fact and/or law which is appropriate for appeal. Such request must be made in writing to the Board within 30 days of the receipt of the notice of denial from the Board.
- (6) The Board or its designee may delay a decision on eligibility to take the examination for any applicant for whom the Board wishes additional information for the purpose of clarifying information previously submitted. This request is to be in writing and sent by certified mail, return receipt requested. The applicant's response must be made and received at the Board's Administrative Office within 60 days from the date of receipt of the notice by the applicant or the application will be closed.
- (7) If the Board finds it has erred in the issuance of a license, the Board will give written notice by certified mail of its intent to revoke the license. The notice will allow the applicant the opportunity to meet the requirements of licensure within 30 days from the date of receipt of the notification. If the applicant does not concur with the stated reason and the intent to revoke the license, the applicant shall have the right to proceed according to Rule 0260-02-.07(5).
- (8) (b) The above action must be ratified by the Board.
- (10) (b) Applicants who have completed all requirements and procedures for licensure and who are not impacted by subparagraph (a) shall present themselves to a Board member for an interview in-person or through the use of real-time interactive audio and video telecommunications at the Board member's choosing which shall include a discussion regarding the applicant's knowledge and understanding of pertinent statutes, regulations and ethics codes. The applicant shall schedule the interview to occur within sixty (60) days of his receipt of the Board's written approval to commence.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.08 Examinations is amended by deleting the rule in its entirety, but not the rule title, and substituting instead the following language, so that as amended, the new rule shall read:

- (1) The examination of applicants for a license to practice chiropractic will consist of the NBCE Examination as directed by the Board. Each applicant must successfully pass all examinations before receiving a license to practice chiropractic unless this requirement is specifically waived pursuant to T.C.A. § 63-4-111.
- (2) A score of 375 on each part of the NBCE Examination and Physiotherapy Examination shall be the minimum passing score for each applicant.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.11 Retirement and Reactivation of License is amended by deleting subparagraphs (2)(a), (2)(b), (3)(a), (3)(b), and paragraph (4) in their entirety and substituting instead the following language, and is further amended by adding new subparagraph (3)(c), so that as amended, the new subparagraphs and paragraph shall read:

- (2) (a) Obtain form, complete, and submit to the Board's administrative office an affidavit of retirement form.
 - (b) Submit any documentation which may be required to the Board's administrative office.

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- (3) (a) Submit a written request for licensure reactivation to the Board's administrative office.
 - (b) Pay the licensure renewal fees and state regulatory fees as provided in Rule 0260-02-.06. If retirement reactivation is requested prior to the expiration of one year from the date of retirement, the Board will require payment of the late renewal fee and past due renewal fees.
 - (c) Submit proof of and comply with Rule 0260-02-.12(6)(a) regarding continuing education.
- (4) Licensure reactivation applications shall be treated as licensure applications and review decisions shall be governed by Rule 0260-02-.07.

Authority: T.C.A. §§ 63-4-106 and 63-4-112.

Rule 0260-02-.12 Continuing Education is amended by deleting subparagraphs (1)(c), (1)(d), (2)(a), (3)(a), (3)(b), (3)(c), (5)(d), (7)(d), (8)(a), (8)(b), (8)(c), and parts (1)(d)1, (1)(d)2, (6)(a)2, and (8)(b)2 in their entirety and substituting instead the following language, and is further amended by deleting part (3)(a)1 in its entirety and renumbering the remaining parts accordingly, and is further amended by deleting newly renumbered part (3)(a)1 and substituting instead the following language, so that as amended, the new subparagraphs and parts shall read:

- (1) (c) No prior approval is required for continuing education courses conducted or approved by the American Chiropractic Association, the International Chiropractors Association, the Tennessee Chiropractic Association, and courses approved by the Tennessee Chiropractic Association. No prior approval is required for CPR training provided by the American Heart Association or the American Red Cross.
 - (d) Prior approval is required for all course providers not mentioned in subparagraph (c) of this rule, and may be obtained by submitting the following information to the Board's administrative office at least thirty (30) days prior to the scheduled date of the course:
 - 1. A detailed course outline or syllabus ; including method of instruction and testing materials, if any; and
 - 2. Names of all lecturers and affiliations, if any; and
- (2) (a) A six (6) hour Board approved course in risk management, sexual/professional boundaries, and Tennessee statutory and regulatory chiropractic jurisprudence must be taken within six (6) months prior to licensure or within the first six (6) months of licensure. If taken prior to licensure, the course must be an additional course beyond the regular chiropractic school program. If taken within the first six (6) months after licensure, the course shall not constitute part of the twenty-four (24) clock hour continuing education requirement in paragraph (1) of this rule for the first calendar year that continuing education is required.
- (3) (a) The licensee must, within thirty (30) days of a request from the Board, provide evidence of continuing education activities. Such evidence must be copies of one (1) or more of the following proofs:
 - 1. Original certificates letters or photocopies of original certificates verifying the licensee's attendance at approved continuing education program(s). The original certificates or photocopies of original certificates must include the following: letters on official stationery from the continuing education program's approved provider or course, name and logo of the approved course provider or sponsor, sponsor indicating date, clock hours awarded (continuing education units must be converted to clock hours), approved program or course title, licensee's name, and license number.
 - (b) Each licensee must retain original documents or photocopies of original documents which verify proof of attendance and completion of all continuing education requirements. This documentation must be retained for a period of four (4) years from the end of the calendar year in which the continuing education was acquired. This documentation must be produced for inspection and verification, if requested in writing by the Board during its verification process. The Board will not maintain continuing education files.

- (c) If a person submits documentation for training that is not clearly identifiable as appropriate continuing education, the Board will request a written description of the training and how it applies to the practice of chiropractic. If the Board determines that the training can not be considered appropriate continuing education, the individual will be given ninety (90) days to replace the hours not allowed. Those hours will be considered replacement hours and cannot be counted during the next renewal period.
- (5) (d) Courses that pertain to the promotion and growth, and the business management of a chiropractic practice. However, up to three (3) hours of clinical documentation is allowed.
- (6) (a) 2. Any individual requesting reactivation of a license which has been retired for more than one (1) year must submit, along with the reactivation request, verification which indicates the attendance and completion of twenty-four (24) hours of continuing education. The continuing education hours must have been successfully completed before the date of reactivation.
- (7) (d) Any licensee who fails to show compliance with the required continuing education hours in response to the notice contemplated by subparagraph (b) above may be subject to disciplinary action. That disciplinary action will include: (1) Assessment of a civil penalty in the amount of six hundred dollars (\$600.00), which must be paid no later than 30 days after the assessment becomes final; and (2) A requirement that the licensee must make up the hours in which he/she is deficient, in addition to the continuing education hours necessary to be obtained annually. The deficient hours must be made up in the subsequent year. Failure to fulfill these requirements may result in additional disciplinary action.
- (8) (a) The Board may grant a waiver of the need to attend and complete the required hours of continuing education if it can be shown to the Board that compliance was beyond the physical capabilities of or would constitute undue hardship to the person seeking the waiver.
 - (b) Waivers will be considered only on an individual basis and may be requested by submitting the following items to the Board's administrative office:
 - 2. Any documentation which supports the reason for the waiver requested or which is subsequently requested by the Board.
 - (c) A waiver approved by the Board is effective only for the calendar year for which the waiver is sought.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.13 Professional Ethics is amended by deleting paragraph (4) in its entirety and substituting instead the following language, and is further amended by adding new paragraph (5), including its subparagraphs and parts, and renumbering the remaining paragraphs accordingly, and is further amended by deleting newly renumbered subparagraph (8)(b) in its entirety and substituting instead the following language, so that as amended, the new paragraphs, subparagraphs, and parts shall read:

- (4) Advertising or promoting, waiving, abrogating, or rebating the deductible and/or co-payment amounts of any insurance policy by forgiving any or all of any patient's obligations for payment, unless the insurer is notified in writing of the fact of such waiver. Advertising or promoting the same must include the notice to the insurer and the record must include proof of the actual notice. If the patient is unable, due to circumstances, to pay for their services and no advertising or promotion is given to the patient, the provider may excuse any portion of fees to ensure that the patient may receive the necessary procedure.
- (5) Violation of the Social Media Standards.
 - (a) The term social media refers to all internet and mobile technologies and practices that are used to share content, opinions, experiences and perspectives online. Social media has become widely used by people as a means of communication and in many instances, has become the preferred method of communication. Examples of social media platforms include, but are not limited to: Webpages, Instagram, Facebook, Twitter, YouTube, LinkedIn, and blogging sites.

- (b) Social media may present opportunities to enhance patient care, education about chiropractic, intra and inter-professional communication and collaboration, and opportunities for continuing education and professional development.
- (c) A licensee is expected to comply with all existing legal, regulatory and professional obligations when engaging in the use of social media, including all relevant legislation, regulation, standards of practice, policies and guidelines. The following guidelines identify some of those obligations as they relate to the use of social media. A licensee must:
 - 1. Uphold and comply with all legal and professional obligations to maintain privacy and confidentiality of patients in accordance with the Health Insurance Portability and Accountability Act (HIPAA). A licensee may not divulge information through social media that identifies a patient by name or through a combination of other identifying information.
 - 2. Any communication between a licensee and patient, such as providing information, must be done through secure private messaging only. A licensee must have a clear understanding of the privacy settings available in any use of social media, and apply their use accordingly. While patients or licensees of the public may make themselves publicly known through posting, a licensee must not breach the privacy or confidentiality of a patient in any context.
 - 3. Not provide any clinical advice, communicate a diagnosis and/or guarantee results to a patient or any public through social media. However, a licensee may provide general health information related to the chiropractic scope of practice for educational or informational purposes.
 - 4. Regularly review Tennessee chiropractic scope of practice both in statute and rule. A licensee must be aware of the risks of using social media for professional reasons, such as the public incorrectly applying information found online to their personal health situation. Whenever a licensee uses his professional designation or provides health related information, that licensee is viewed as acting in a professional capacity. A licensee must exercise caution when posting health related information, so that it is clearly used for education or informational purposes, and must not be used as clinical advice.
 - 5. Exercise caution when posting health related information and links to journal articles or academic information to ensure he/she is not infringing on any copyrighted material.
 - 6. Maintain appropriate professional boundaries, and avoid posting information, comments or images that may be perceived as disgraceful, dishonorable or unprofessional. A licensee is not to post any information to social media that may be perceived as harassment, bullying, or inflammatory in nature. A licensee is further encouraged to have separate personal and professional social media pages;
 - 7. Comply with relevant scope of practice, professional ethics and advertising provisions under Tenn. Comp. R. & Regs. Rule sections 0260-02-.02, 0260-02-.13, 0260-02-.20 respectively.
 - 8. Understand that information that is posted online cannot be removed easily. A member must consider his legal, professional and regulatory obligations and exercise good judgment and caution before posting material to social media.
- (8) (b) Subsequent radiographic evaluation of the patient shall not be undertaken without significant observable clinical indication, as determined by the treating chiropractic physician.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-. 15 Disciplinary Actions, Civil Penalties, Screening Panels, Subpoenas, and Assessment of Costs is amended by deleting paragraph (1), subparagraphs (1)(e), (5)(a), (6)(a), (6)(e), parts (2)(b)5, (3)(b)5, (4)(b)1, (4)(b)2, (4)(b)3, (4)(d)2, (4)(d)3, (5)(a)1, (5)(b)1, (6)(b)2, item (6)(c)1(ii)(I), and subitem (6)(c)1(ii)(II) in their entirety and substituting instead the following language, so that as amended, the new paragraph, subparagraphs, parts, item, and subitem shall read:

- (1) Upon a finding by the Board that a chiropractic physician has violated any provision of the T.C.A. §§ 63-4-101, et seq., or the rules promulgated thereto, the Board may impose any of the following actions separately or in any combination deemed appropriate to the offense.
 - (e) Licensure Revocation This is the most severe form of disciplinary action which removes an individual from the practice of the profession and terminates the licensure previously issued. If revoked, it relegates the violator to the status he possessed prior to application for licensure. However, the Board may in its discretion allow the reinstatement of a revoked certificate or license upon conditions and after a period of time which it deems appropriate. No petition for reinstatement and no new application for licensure from a person whose license was revoked shall be considered prior to the expiration of at least one year unless otherwise stated in the Board's revocation order.
- (2) (b) 5. If the petition is denied either initially by staff or after presentation to the Board and the petitioner believes compliance with the order has been sufficiently proven the petitioner may, as authorized by law, file a petition for a declaratory order pursuant to the provisions of T.C.A. §§ 4-5-223 and Rule 1200-10-01-.11.
- (3) (b) 5. If the petition is denied either initially by staff or after presentation to the Board and the petitioner believes impossibility of compliance with the order has been sufficiently proven the petitioner may, as authorized by law, file a petition for a declaratory order pursuant to the provisions of T.C.A. §§ 4-5-223 and Rule 1200-10-01-.11.
- (4) (b) 1. A Type A civil penalty may be imposed whenever the Board finds the person who is required to be licensed or certified by the Board is guilty of a willful and knowing violation of the Chiropractic Examiners Practice Act, or regulations promulgated pursuant thereto, to such an extent that there is, or is likely to be an imminent substantial threat to the health, safety and welfare of an individual client or the public. For purposes of this section, a Type A civil penalty shall include, but not be limited to, a person who willfully and knowingly is or was practicing as a chiropractic physician without a license from the Board.
 - 2. A Type B civil penalty may be imposed whenever the Board finds the person required to be licensed or certified by the Board is guilty of a violation of the Chiropractic Examiners Practice Act or regulations promulgated pursuant thereto in such manner as to impact directly on the care of clients or the public.
 - 3. A Type C civil penalty may be imposed whenever the Board finds the person required to be licensed or certified by the Board is guilty of a violation of the Chiropractic Examiners Practice Act or regulations promulgated pursuant thereto, which are neither directly detrimental to the clients or public, nor directly impact their care, but have only an indirect relationship to client care or the public.
 - (d) 2. Civil Penalties may also be initiated and assessed by the Board during consideration of any Notice of Charges. In addition, the Board may, upon good cause shown, assess type and amount of civil penalty which was not recommended by the Division.
 - 3. In assessing the civil penalties pursuant to these rules the Board may consider the following factors:
- (5) (a) Shall have concurrent authority with the Board members and any individual chiropractic physician designated by the Board pursuant to Rule 0260-02-.19 (7), to do the acts enumerated therein and subject to the conditions contained therein.
 - 1. A Screening panel(s) comprised of three (3) or more persons shall elect a chairperson prior to convening to conduct business. The screening panel(s) shall include at least one (1) but no more

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than three (3) licensed chiropractic physicians who may be members of the Board or may serve voluntarily or through employment by or under contract with the Board.

- (b) 1. Neither the Rules of Civil Procedure, the Rules of Evidence or Contested Case Procedural Rules under the Administrative Procedures Act shall apply in informal hearings before the screening panels(s).
- (6) Purpose - Although this rule applies to persons and entities other than chiropractic physicians, it is the (a) Board's intent as to chiropractic physicians that they be free to comprehensively treat and document treatment of their patients without fear that the treatment or its documentation will be unduly subjected to scrutiny outside the profession. Consequently, balancing that intent against the interest of the public and patients to be protected against substandard care and activities requires that persons seeking to subpoena such information and/or materials must comply with the substance and procedures of these rules. It is the intent of the Board that the subpoena power outlined herein shall be strictly proscribed. Such power shall not be used by the division or board investigators to seek other incriminating evidence against chiropractic physicians when the division or board does not have a complaint or basis to pursue such an investigation. Thus, unless the division or its investigators have previously considered, discovered, or otherwise received a complaint from either the public or a governmental entity, then no subpoena as contemplated herein shall issue.
 - (b) 2. Presiding Officer - For investigative subpoenas shall mean any elected officer of the Board, or any duly appointed or elected chairperson of any panel of the Board, or any screening panel, and any hearing officer, arbitrator or mediator.
 - (c) 1. (ii) (II) I. In no event shall such subpoena be broadly drafted to provide investigative access to chiropractic records of other patients who are not referenced either in the notice of charges or a complaint received from an individual or governmental entity, or who have not otherwise sought relief. review. or board consideration of a chiropractic physician's chiropractor's conduct, act, or omission; and
 - (iii) (I) In as timely a manner as possible arrange for either an elected officer of the Board, or any screening panel, or any hearing officer, arbitrator or mediator to preside and determine if the subpoena should be issued; and
 - (e) Subpoena Service - Any method of service of subpoenas authorized by the Tennessee Rules of Civil Procedure or the Rules of procedure for contested cases of the Tennessee Department of State, Administrative Procedures Division may be utilized to serve subpoenas pursuant to this rule.

Authority: T.C.A. §§ 63-1-134, 63-1-144, 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.19 Board Members, Officers, Consultants, Records, Declaratory Orders, and Advisory Rulings is amended by deleting subparagraphs (1)(b), (2)(a), (2)(b), (3)(a), (3)(b), (3)(c), (3)(d), (4)(a), (4)(b), (4)(c), and paragraphs (4), (5), and (6) in their entirety and substituting instead the following language, so that as amended, the new subparagraphs and paragraphs shall read:

- The composition of the Board shall include five (5) licensed chiropractic physicians who are residents in (1)(b) Tennessee and have been actively engaged in practice of their profession in Tennessee for a period of at least five (5) years; and two (2) consumer members who are not affiliated with the practice of chiropractic.
- (2)(a) The Board is charged by law with the responsibility of regulating the practice of chiropractic in order to protect the citizens of Tennessee, additionally;
 - (b) The Board, by formulating and administering examinations, credentializes candidates for licensure and continues this credentialization process by approving educational seminars for licensees in appropriate subject material, or specific educational requirements for an individual licensee as conditions that may be appropriate as the results of a censure, reprimand or action taken in a formal hearing conducted or ratified

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by the Board.

- (3) (a) The time, place, and frequency of board meetings shall be at the discretion of the Board, except at least one meeting shall be held annually.
 - (b) Special meetings are called at the discretion of the Board president or at the written request from four (4) members of the Board.
 - (c) Four members of the Board shall at all times constitute a quorum.
 - (d) All meetings of the Board shall be open to the public.
- (4) The Board shall elect from its members the following officers:
 - (a) President who shall preside at all board meetings, appoint committees and correspond with other board members when appropriate. The president, along with the Board Unit Director, when authorized, shall be responsible for correspondence from the Board and to execute all official documents which require the seal of the Board to be affixed.
 - (b) Vice President whose duties will be to preside at a particular meeting in the absence or unavailability of the president. To serve only upon the issuance of notification from the president which will also be given to the Board Unit Director.
 - (c) Secretary who along with the Board Unit Director shall be responsible for correspondence from the Board and to execute all official documents which require the seal of the Board to be affixed.
- (5) Responsibilities of the Board include, but are not limited to:
- (6) Board Conflict of Interests Any board member having an immediate personal, private, or financial interest in any matter pending before the Board shall disclose the fact in writing, and shall not vote upon such matter.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.19 Board Members, Officers, Consultants, Records, Declaratory Orders, and Advisory Rulings is amended by deleting paragraphs (7) and (11) and subparagraphs (7)(e), (8)(a), and (8)(b) in their entirety and substituting instead the following language, and is further amended by adding new subparagraphs (7)(f), (7)(g), (7)(h), and (7)(i), so that as amended, the new paragraphs and subparagraphs shall read:

- (7) The Board shall not delegate its authority to select a Board consultant who shall serve as a consultant to the Division and who is vested with the authority to do the following acts:
 - (e) Undertake any other matter authorized by a majority vote of the Board.
 - (f) When utilized, the composition of Board consultants may include three (3) licensed chiropractic physicians who are residents in Tennessee and have been actively engaged in practice of their profession in Tennessee for a period of at least ten (10) years.
 - (g) The Board consultants' licenses shall be unencumbered and in good standing prior to and throughout their term without any prior disciplinary action unless approved by the Board.
 - (h) The Board consultant may be appointed by the Board for a term of up to five (5) years. The Board will appoint one of its members to consult with the Board attorney and an official from the office of investigations to review the current status and continued qualifications of the consultant toward the end of their term. An additional term may be granted upon approval from the Board.
 - (i) Any consultant having an immediate personal, private, or financial interest in any matter pending before the Board or being investigated shall disclose the fact in writing.

- (8) (a) All requests, applications, notices, other communications and correspondence shall be directed to the Board's administrative office. Any requests or inquiries requiring a board decision or official Board action, except documents relating to disciplinary actions or hearing request, must be received 14 days prior to a scheduled board meeting and will be retained in the Board's administrative office and presented to the board at the board meeting.
 - (b) All records of the Board, except those made confidential by law, are open for inspection and examination, under the supervision of an employee of the Division at the Board's administrative office during normal business hours.
- (11) The Board authorizes the member who chaired the Board for a contested case to be the agency member to make the decisions authorized pursuant to Rule 1360-04-01-. 18 regarding petitions for reconsiderations and stays in that case.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.20 Advertising is amended by deleting subparagraphs (2)(a), (4)(o), (5)(c) and part (3)(c)2 in their entirety and substituting instead the following language, and is further amended by adding new subparagraph (4)(v), so that as amended, the new subparagraphs and part shall read:

- (2) (a) Advertisement Informational communication, as further defined in Rule 0260-02-.01(1), to the public in any manner designed to attract public attention to the practice of a chiropractic physician who is licensed to practice in Tennessee.
- (3) (c) 2. The licensee provided the same quality and components of service and material at the discounted fee that are normally provided at the regular non-discounted fee for that service.
- (4) (o) The use of "bait and switch" advertisements. Where the circumstances indicate "bait and switch" advertising, the Board may require the licensee to furnish to the Board or its designee data or other evidence pertaining to those sales at the advertised fee as well as other sales.
 - (v) Misleading claims or offers on internet or social media platforms.
- (5) (c) A recording of every advertisement communicated by electronic media, and a copy of any other form of advertisement shall be retained by the licensee for a period of two (2) years from the last date of broadcast or publication and be made available for review upon request by the Board or its designee.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.21 Chiropractic Preceptor Program is amended by deleting paragraph (1), including its subparagraphs, and paragraph (3) in their entirety and substituting instead the following language, so that as amended, the new paragraph and subparagraphs shall read:

- (1) Applications for Preceptor Program An application will be considered by the Board or its designee, based upon;
 - (a) If the preceptor named in the application is in good standing with the Board.
 - (b) If the application for the chiropractic preceptor program includes an intern who is serving his internship with a chiropractic college accredited by the Council on Chiropractic Education (CCE), or its successor, registered with the Commission on Accreditation of the U.S. Department of Education.
- (3) Supervision An intern must be supervised by a chiropractic physician licensed in Tennessee in good standing for at least four (4) years. The preceptor shall be on the facility premises while the intern is conducting patient care.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

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Rule 0260-02-.22 Substantiation of Services is amended by deleting subparagraphs (5)(d), (5)(e), and (5)(f) in their entirety and substituting instead the following language, so that as amended, the new subparagraphs shall read:

- (5) (d) assessment/diagnosis
 - (e) plan of care/treatment, and
 - (f) reevaluation or referral.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

Rule 0260-02-.25 Free Health Clinic and Volunteer Practice Requirements is amended by deleting subparagraphs (1)(a), (1)(b), (1)(c), (2)(a), (2)(b), (2)(c), (2d), parts (1)(a)2, (1)(a)3, (1)(d)1, (1)(d)2, and paragraph (3) in their entirety and substituting instead the following language, and is further amended by adding new paragraph (4), so that as amended, the new paragraph, subparagraphs, and parts shall read:

- (1) (a) Any chiropractic physician licensed to practice chiropractic in this state or any other state who has not been disciplined by any licensure board may have his license converted to or receive a Tennessee "Special Volunteer License," as defined in T.C.A. § 63-1-201, which will entitle the licensee to practice without remuneration solely within a "free health clinic," as defined by T.C.A. § 63-1-201, at a specified site or setting by doing the following:
 - 2. Have the licensing authority of every state in which the chiropractic physician holds or ever held a license to practice submit directly to the Board's administrative office the equivalent of a "certificate of fitness" as described in T.C.A. § 63-1-118 which shows that the license has never been subjected to any disciplinary action and is free and clear of all encumbrances; and
 - 3. For chiropractic physicians who have not been licensed in Tennessee, comply with all provisions of subparagraphs (2)(d), (2)(e), and (2)(f) of Rule 0260-02-.05 and the Health Care Consumer-Right-To-Know Act compiled at T.C.A. §§ 63-51-101, et seq.; and
 - (b) A chiropractic physician holding a Special Volunteer License is not required to pay any fee for its issuance or the required biennial renewal pursuant to the Division of Health Related Board's biennial birthdate renewal system.
 - (c) A chiropractic physician holding a Special Volunteer License may not do any of the following:
 - (d) 1. All rules governing renewal, retirement, reinstatement and reactivation as provided by Rules 0260-02-.09 and .11, except those requiring the payment of any fees; and
 - 2. The rules governing continuing education as provided by Rule 0260-02-.12; and
- (2) (a) Any chiropractic physician licensed in this or any other state, territory, district or possession of the United States whose license is not under a disciplinary order of suspension or revocation may practice chiropractic in this state but only under the auspices of an organization that has complied with the provisions of this rule and T.C.A. §§ 63-6-701 through 63-6-707 and Rule 1200-10-01-.12 of the Division of Health Related Boards.
 - (b) Any person who may lawfully practice chiropractic in this or any other state, territory, district or possession of the United States under an exemption from licensure and who is not under a disciplinary order of suspension or revocation and who is not and will not "regularly practice," as defined by T.C.A. § 63-6-703(3) may practice chiropractic in this state but only under the auspices of an organization that has complied with the provisions of this rule and T.C.A. §§ 63-6-701 through 63-6-707 and Rule 1200-10-01-. 12 of the Division of Health Related Boards.

- (c) A chiropractic physician or anyone who practices under an exemption from licensure pursuant to this rule may not charge any fee or receive chiropractic compensation or remuneration of any kind from any person or third party payor including insurance companies, health plans and state or federal benefit programs for the provision of medical or any other services; and may not practice for any organization that imposes any charge on any individual to whom health care services are rendered or submits charges to any third party payor including insurance companies, health plans and state or federal benefit programs for the provision of any services.
- (d) Any organization that organizes or arranges for the voluntary provision of health care services on residents of Tennessee may utilize persons described in subparagraphs (a) and (b) to practice chiropractic only when it has complied with the provisions of T.C.A. §§ 63-6-701 through 63-6-707 and Rule 1200-10-01-.12 of the Division of Health Related Boards.
- (3) Application review and licensure decisions for these types of licensure or organization registration shall be governed by Rule 0260-02-.07.
- (4) Chiropractic physicians may obtain continuing education credits for volunteer practice pursuant to T.C.A § 63-6-712 and in compliance with continuing education approval criteria.

Authority: T.C.A. §§ 63-1-201, 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, 63-4-123, and 63-6-701 through 63-6-707.

Rule 0260-02-.27 The Practice of Telehealth or Telemedicine is a new rule. All subsequent rules, and references thereto, are renumbered accordingly.

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- 0260-02-.27 The Practice of Telehealth or Telemedicine

Rule 0260-02-.27 The Practice of Telehealth or Telemedicine

No person shall engage in the practice of chiropractic, either in person or remotely using information transmitted electronically or through other means, on a patient within the state of Tennessee unless duly licensed by the Board in accordance with the provisions of the current statutes and rules. Unless specifically set out in this rule, this rule is not intended to and does not supersede any pre-existing federal or state statutes or rules and is not meant to alter or amend the applicable standard of care in any particular healthcare field or to amend any requirement for the establishment of a chiropractic physician-patient relationship.

- (1) Definitions -
 - (a) Facilitator The facilitator is an individual often affiliated with a local system of care or a parent or legal guardian of the patient. The facilitator must be physically present with the patient and is responsible for verifying the identity and location of the patient and for the origination, collection and transmission of data in the form of images or clinical data to the chiropractic physician performing the evaluation remotely.
 - (b) Medical interpretation The performance of a medical interpretation by a chiropractic physician is the rendering of a diagnosis regarding a particular patient by patient history, observation, examination of radiologic imaging studies, advanced imaging, laboratory reports (including, but not limited to urine, blood, saliva and hair) or medical records requested by another physician or licensed health care provider.

(c) Patient encounter – The rendering of a documented medical opinion concerning evaluation, diagnosis, SS-7037 (March 2020) 17 RDA 1693

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and/or treatment of a patient whether the chiropractic physician is physically present in the same room, in a remote location within the state or across state lines.

- (d) Chiropractic physician-patient relationship A chiropractic physician-patient relationship is created by mutual consent and mutual communication, except in an emergency, between the patient and the chiropractic physician. The consent by the patient may be expressed or implied consent; however, the chiropractic physician-patient relationship is not created simply by the receipt of patient health information by a provider unless a prior chiropractic physician-patient relationship exists. The duties and obligations created by the relationship do not arise until the healthcare provider:
 - 1. Affirmatively undertakes to diagnose or treat the patient; or
 - 2. Affirmatively participates in the diagnosis or treatment.
- (e) Store-and-forward technology Defined by Tenn. Code Ann. 63-1-155.
- (2) Effect of License The issuance by the Board of a license to practice chiropractic subjects the licensee to the jurisdiction of the Board in all matters set forth in the Chiropractic Practice Act and implementing rules and regulations, including all matters related to discipline. The licensee agrees to produce patient medical records and materials as requested by the Board and to appear before the Board upon receipt of notice from the Board commanding such appearance. Failure of the licensee to appear and/or to produce records or materials as requested, after appropriate notice, shall constitute grounds to suspend or revoke the license at the Board's discretion.
- (3) Chiropractic physicians who are contractually obligated to provide and/or deliver chiropractic services in Tennessee must be licensed to practice chiropractic in Tennessee, regardless of whether such services are in exchange for direct compensation.
- (4) A chiropractic physician licensed in Tennessee may engage in the practice of telehealth "telemedicine" under the following circumstances:
 - (a) Except as provided under paragraphs six (6) and seven (7) of this rule, the patient encounter to establish or maintain the chiropractic physician-patient relationship via telehealth/telemedicine between the chiropractic physician in a remote location and the patient in Tennessee may occur with or without the use of a facilitator so long as such encounter is consistent with parts 1 and 2 of this Rule:
 - 1. If no facilitator is present:
 - (i) The patient must utilize adequately sophisticated technology to enable the remote provider to verify the patient's identity and location with an appropriate level of confidence;
 - (ii) The patient must transmit all relevant health information at the level of store-and-forward technology or secure video conferencing; and
 - (iii) The remote provider must disclose his or her name, current and primary practice location, chiropractic degree and recognized specialty area, if any, and in accordance with T.C.A. § 63-1-109.
 - 2. If a facilitator is present:
 - (i) The facilitator must personally verify the identity of the patient; however, all relevant health information must be transmitted to the remote provider using at least the level of store-and-forward technology. The facilitator and the patient may interact with the provider at the remote location via secure video conferencing or store-and-forward technology;
 - (ii) The facilitator must identify themselves, their role, and their title to the patient and the remote chiropractic physician; and

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- (iii) The remote provider must disclose his or her name, current and primary practice location, chiropractic degree and recognized specialty area, if any, and all additional information required pursuant to T.C.A. § 63-1-109.
- (b) For patient encounters conducted via telehealth/telemedicine, the chiropractic physician should have appropriate patient record(s) or be able to obtain such information during the telehealth/telemedicine encounter.
- (c) The chiropractic physician engaging in telehealth/telemedicine is responsible for ensuring that the medical record contains all pertinent data and information gleaned from the encounter. Any chiropractic physician conducting a patient encounter via telehealth/telemedicine must so document in the patient record and must state the technology used. All records for Tennessee patients are subject to inspection pursuant to T.C.A. § 63-1-117.
- (d) If the information transmitted through electronic or other means as part of a patient's encounter is not of sufficient quality or does not contain adequate information for the chiropractic physician to form an opinion, the chiropractic physician must declare they cannot form an opinion to make an adequate diagnosis and must request direct referral for inspection and actual physical examination, request additional data, or recommend the patient be evaluated by the patient's primary physician or other local health care provider.
- (5) A chiropractic physician licensed by the Board may, if requested to do so by another chiropractic physician licensed by the Board, engage in medical interpretation as defined in these rules and render an opinion based on data which is transmitted electronically. In such cases, the chiropractic physician providing the medical interpretation need not examine the patient and need not have the complete medical record accessible, unless the interpreting chiropractic physician believes that additional information is necessary. Any opinion rendered by such interpreting chiropractic physician must be reduced to writing which includes the name and electronic signature of the interpreting chiropractic physician.
- (6) No patient seeking care via telehealth/telemedicine who is under the age of eighteen (18) years of age can be treated unless there is a facilitator present, except as otherwise authorized by law.
- (7) No person shall engage in the practice of chiropractic across state lines in this State, hold himself out as qualified to do the same, or use any title, word, or abbreviation to indicate to or induce others to believe that he is licensed to practice chiropractic across state lines in this State.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.

I certify that the information included in this filing is an accurate and complete representation of the intent and scope of rulemaking proposed by the agency.

Date:	04/09/2024
Signature:	Michael Varnell
Name of Officer:	Michael Varnell
Title of Officer:	Associate Counsel, Department of Health

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RULES

OF

TENNESSEE BOARD OF CHIROPRACTIC EXAMINERS

DIVISION OF HEALTH RELATED BOARDS

CHAPTER 0260-02

GENERAL RULES GOVERNING CHIROPRACTIC EXAMINERS

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0260-02-.01 DEFINITIONS. As used in these rules, the following terms and acronyms shall have the following meaning ascribed to them:

- (1) Adjustment <u>An interchangeable term with manipulation.</u> A manual or mechanical <u>passive</u> <u>therapeutic</u> intervention <u>using a direct thrust</u> that may be administered with a high or low velocity, short or long lever, <u>or</u> high or low amplitude, directed to specific structures of the frame to improve joint function, neurological integrity, joint mobility or alignment. <u>These interventions may be applied</u> to all joints of the body as deemed appropriate.
- (2) Advertising Includes, but is not limited to business solicitation, with or without limiting qualifications, in a card, sign, or device issued to a person; in a sign or marking in or on any building; or in any newspaper, magazine, directory, or other printed matter. Advertising also includes business solicitations communicated by individual, radio, video, or television broadcasting, internet, social media or any other means designed to secure public attention.
- (3) Applicant Any individual seeking licensure by the Bboard who has submitted an official application and paid the application fee.
- (4) Board The Tennessee Board of Chiropractic Examiners.
- (5) Board Administrative Office The office of the Unit Director assigned to the Bboard located at 665 Mainstream Drive, Nashville, TN 37243.
- (6) Board Designee Any person who has received a written delegation of authority from the Bboard to perform board functions subject to review and ratification by the full board where provided by these rules.
- (7) Closed Files An administrative action which renders an incomplete or denied file inactive.
- (8) Department Tennessee Department of Health.
- (9) Differential Diagnosis Defined by Tenn. Code Ann. 63-4-101(a)(1)(A).
- (109) Division The Division of Health Related Boards, Tennessee Department of Health, from which the Beoard receives administrative support.
- (1140) Documentation Refers to and includes, but is not limited to, notations made by the provider or his designated assistant in the patient record, relevant reports from other providers, electronically obtained and/or stored information and all diagnostic imaging, etc.
- (1214) Excessive That which fails to be substantiated beyond the quantity of procedures customarily performed or utilized by a prudent person similarly trained, skilled and experienced in a specific diagnostic or treatment procedure or technique in question.
- (<u>13</u>42) Fee Money, gifts, services, or anything of value offered or received as compensation in return for rendering services; also, the required application fees.
- (1413) Good Moral Character The quality of being highly regarded in personal behavior and professional ethics.

- (<u>15</u>14) He/she Him/her When "he" appears in the text of these rules, the word represents both the feminine and masculine-genders.
- (<u>16</u>45) HRB When the acronym HRB appears in the text of these rules, the HRB represents Health Related Boards.
- (1746) License Document issued to an applicant who successfully completes the licensure process. The license takes the form of an "artistically designed" license as well as other versions bearing an expiration date.
- (1817) Manipulation <u>An interchangeable term with adjustment</u>. A <u>manual or mechanical passive</u> therapeutic intervention <u>using a direct thrust</u> that may be administered with a high or low velocity, short or long lever, <u>or</u> high or low amplitude, directed to specific structures of the frame to improve joint function, neurological integrity, mobility or alignment. <u>These interventions may be applied to all joints of the body as deemed appropriate</u>.
- (1948) National Board Means the National Board of Chiropractic Examiners (NBCE).
- (2019) Person Any individual, firm, corporation, partnership, organization, or body politic.
- (2120) <u>Registrant</u> Physical Therapeutics <u>Any person who has been lawfully issued a license</u>. Physical agents, rehabilitative and/or therapeutic procedures utilized in the restoration and maintenance of health.
- (2221) <u>Rehabilitation Care Registrant-</u> <u>Corrective care provided to enhance and restore normal</u> <u>physiologic and/or functional ability to those with ailments, impairments, physical disorders or</u> <u>disabilities for the restoration and maintenance of health</u>. Any person who has been lawfully issued <u>a license.</u>
- (2322) Subluxation An abnormal condition where one or the other of two adjacent articular surfaces has lost its articular or neurological function, position, or alignment and is classified less than a dislocation.
- (2423) Substantiation Any test, examination, recorded symptom or other observation of the patient (or, in the case of a minor or otherwise legally incompetent person, reported to the provider or his staff by the parent or legal guardian) by the provider and determined by said provider to be useful in arriving at a treating or management diagnosis and/ or the determination of what, how, when, where and why to examine, treat, consult or refer a patient.
- (25) SupportiveCare Support services and treatment given to enhance, prevent, control, or relieve complications, discomfort, and side effects and to improve the patient's comfort and quality of life.
- (26) Telehealth/Telemedicine Defined by Tenn. Code Ann. § 63-1-155(a)(3).
- (27) TelehealthProvider Means a healthcare service provider engaged in the delivery of healthcare services through telehealth.
- (2824) Therapeutic Care An approved adjustive, manipulative, manual, nutritional, therapeutic or rehabilitative treatment administered or ordered by a chiropractic physician. , These interventions

<u>may utilize</u> physical agent, mechanical, chemical, electrical, <u>or</u> thermal methods-<u>and</u> treatments administered by or ordered by a chiropractic physician. <u>These interventions may be applied</u> throughout the joints and soft tissue regions of the body as deemed appropriate.

- (2925) Use of Title or Description To hold oneself out to the public as having a particular status by means of stating on signs, mailboxes, address plates, stationary, announcements, business cards, or other means of professional identification.
- (<u>30</u>26) Written Evidence Includes, but is not limited to, written verification from supervisors or other professional colleagues familiar with the applicant's work.

Authority: T.C.A. §§ 4-5-202, 4-5-204, 63-4-101, 63-4-102, 63-4-103, 63-4-105, 63-4-106, 63-4-107, 63-4-108, 63-4-109, 63-4-110, 63-4-112, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123. Administrative History: Original rule filed April 19, 1983; effective May 19, 1983. Amendment filed October 22, 1987; effective December 6, 1987. Amendment filed April 25, 1989; effective July 29, 1989. Repeal and new rule filed December 28, 1995; effective March 12, 1996. Amendment filed February 12, 1996; effective April 27, 1996. Amendment filed August 26, 2002; effective November 9, 2002. Amendment filed September 13, 2002; effective November 27, 2002. Amendment filed September 11, 2002. Amendments filed February 17, 2017; effective May 18, 2017.

0260-02-.02 SCOPE OF PRACTICE

- (1) Any person who possesses a valid unsuspended and unrevoked license issued by the Board has the right to use the title licensed chiropractic physician. No other person shall assume this title on any work, letter, sign, figure, advertisement, or device to indicate that the person using the same is a licensed chiropractic physician. The work performed includes offering case management procedures and recommendations for health care and services to the public.
- (2) The scope of practice of a chiropractic physician shall be as set forth in T.C.A. § 63-4-101 and shall include:
 - (a) As a portal of entry provider, a chiropractic physician has authority to make a differential diagnosis that may include the use of patient history, examination techniques, lab analysis and analytical instruments for the purpose of determining vital signs and screening of health status, orthopedic and neurological testing, range of motion and muscles testing and diagnostic evaluation and/or imaging of the human body that may be revealed in a state of pathology, as a basis for making clinical judgments as to the patient's condition, degree or nature of treatment needed and management and rehabilitation of the human body which is in the opinion of the provider, appropriate for the <u>support</u>, restoration and maintenance of health.
 - (b) A chiropractic physician has the authority to <u>utilize physical agent modalities and perform</u> an adjustment, manipulation, <u>manual therapy</u>, <u>dry needling</u>, <u>rehabilitation</u> or <u>treatments</u> which may include <u>physical</u> therapeutic <u>careservices interventions</u> to the human frame and/or soft tissues for the restoration and/or supportive care and/or maintenance of health.
 - (c) The chiropractic physician's responsibility for patient care, case management, and the protection of the patient includes the authority to make a proper referral to a <u>healthcare</u> <u>provider</u> <u>particular health specialist</u> for consultation or collaborative care, and also for

treatments, therapeutic procedures, recommendations, recording and reporting to thirdparty payers, preparing narratives, giving of depositions and in-court testimony as an expert witness and determination of impairment ratings.

- (d) The scope of practice of a chiropractic physician includes such supportive care as nutritional evaluation, recommendation and supplementation, patient management in their mental and physical environment, and due regard for patients concerning diet, hygiene, sanitation and rehabilitation.
- (e) The scope of practice of a chiropractic physician includes the ordering of imaging as defined in Tenn. Code Ann. § 63-1-101(a)(1)(B)(ii, from a licensed or certified laboratory, analysis of blood, urine, or other bodily fluids, secretions or excretions, for the diagnosis and management of the patient.
- (f) The scope of practice of a chiropractic physician includes the performance of X-rays and other non-invasive diagnostic procedures as defined in Tenn. Code Ann. § 63-1-101(a)(1)(B)(iii).
- (g) The scope of practice of a chiropractic physician includes the ordering, from a licensed or certified laboratory, analysis of blood, urine, or other bodily fluids, secretions or excretions, for the diagnosis and management of the patient.
- (h) The scope of practice includes the use of "telehealth" also known as "telemedicine" for the purpose of diagnosis, consultation, or treatment of a patient in another location where there may be no in-person exchange. As a telehealth provider, services provided through a telehealth encounter shall comply with rules promulgated by the Board. Telehealth providers shall be held to the same standard of care as healthcare services providers providers providers.
- (if) The Board of Chiropractic Examiners does not recognize any one document, guideline, textbook, clinical trial or study as the exclusive endorsement for setting standards of practice.
- (3) Spinal manipulation / Spinal adjustment
 - (a) Training must be performed in chiropractic institutions or institutions that specialize in spinal manipulative therapy. Spinal manipulation is a highly skilled maneuver that requires adequate training. Four hundred (400) hours of classroom instruction and eight hundred (800) hours of supervised clinical training are considered a minimum level of education to properly administer the techniques.
 - (b) Spinal manipulation must be performed by hand or with the use of instruments such as Activator, Grostic, Pettibon, mechanical and/or electromechanical devices.
 - (c) Manipulation moves the spinal segments beyond their normal range of motion for the restoration of neurological integrity, and/or correction of articular dysfunction, but without exceeding the limits of anatomical integrity.

- (d) A differential diagnosis is necessary to properly establish the indications and contraindications before the administration of the spinal manipulation/adjustment procedure.
- (4) Acupuncture A licensed chiropractic physician who practices acupuncture must complete two hundred and fifty (250) hours of an acupuncture course accredited by an agency or entity acceptable to the Board and pass the National Board of Chiropractic Examiners (NBCE) Acupuncture Exam. Prior to engaging in the practice of acupuncture, a chiropractic physician must:
 - (a) Request that an official transcript be sent directly to the Board's Administrative Office from an accredited acupuncture program demonstrating successful completion of at least 250 hours training and education; and
 - (b) Request that official proof be sent directly to the Board's Administrative Office from the NBCE demonstrating successful completion of the acupuncture exam.
 - (c) Upon receipt, the Board office shall provide a letter to proceed with the practice of acupuncture.

Authority: T.C.A. §§ 4-5-202, 4-5-204, 63-4-101, 63-4-102, 63-4-106, 63-4-107, 63-4-108, 63-4-109, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, 63-4-123, and 63-6-1002. Administrative History: Original rule filed December 5, 1978; effective April 2, 1979. Repeal and new rule filed April 19, 1983; effective May 19, 1983. Amendment filed October 22, 1987; effective December 6, 1987. Repeal and new rule filed December 28, 1995; effective March 12, 1996. Amendment filed October 24, 2002; effective January 7, 2003. Amendment filed June 3, 2004; effective August 17, 2004. Amendment filed April 10, 2007; effective June 24, 2007. Amendments filed February 17, 2017; effective May 18, 2017.

0260-02-.03 NECESSITY OF CERTIFICATION.

- (1) Prior to the engagement of the practice of chiropractic in Tennessee, a person must hold a current Tennessee license or temporary license issued pursuant to rule 0260-02-. 14.
- (2) It is unlawful for any person who is not licensed in the manner prescribed in T.C.A. §§ 63-4-101, 3-4-101 et seq. to represent himself/herself as a licensed <u>chiropractic physician chiropractor</u> or to hold himself/herself out to the public as being licensed by means of using a title on signs, mailboxes, address plates, stationary, announcements, telephone listings, calling cards, or other instruments of professional identification.
- (3) Use of Titles Any person who possesses a valid, current and active license issued by the Board that has not been suspended or revoked has the right to use the titles "chiropractor," "chiropractic physician" and "doctor of chiropractic," to use the acronym "D.C.," and to practice chiropractic, as defined in T.C.A. § 63-4-101. Any person licensed by the Board to whom this rule applies must use one of the titles authorized by this rule in every "advertisement" [as that term is defined in Rrule 0260-02-.20(2)(a)] he or she publishes or the failure to do so will constitute an omission of a material fact which makes the advertisement misleading and deceptive and subjects the licensee to disciplinary action pursuant to T.C.A. § 63-4-114(4), (13), and (16).

- (4) Chiropractic is one of the healing arts and as such the practice of which is restricted to those persons credentialed by the Beoard. Persons engaging in the practice of chiropractic without being credentialed are in violation of T.C.A. § 63-1-123.
- (5) Licensed chiropractic physicians by virtue of their license shall be qualified to operate x-ray equipment and order examinations of Imagery for diagnostic purposes pursuant to T.C.A. § 63-4-119.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-204</u>, <u>63-1-123</u>, <u>63-1-145</u>, <u>63-4-101</u>, <u>63-4-106</u>, <u>63-4-107</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-122</u>, <u>and</u> <u>63-4-123</u>. Administrative History: Original rule filed April 19, 1983; effective May 19, 1983. Amendment filed October 22, 1987; effective December 6, 1987. Amendment filed April 25, 1989; effective July 29, 1989. Repeal and new rule filed December 28, 1995; effective March 12, 1996. Amendment filed October 29, 2008; effective January 12, 2009.</u>

0260-02-.04 QUALIFICATIONS FOR LICENSURE

- (1) To qualify for licensure by examination, a chiropractic physician must:
 - (a) Provide evidence that he/she is well regarded in moral character and professional ethics (<u>R</u>rule 0260-02-.05(I)(g)).
 - (b) Education:
 - 1. Provide proof of attendance at a chiropractic college for four (4) school years of not less than nine (9) months each and graduation from a chiropractic college having status with the Commission on Accreditation of the Council on Chiropractic Education, or <u>its successor or</u> graduation from an international chiropractic college approved by the Board; and
 - 2. If not included in the documentation required in part (1)(b)1., submit proof of additional parachiropractic education as follows:
 - (i) Before licensure will be granted to an applicant matriculating in a chiropractic college prior to year 2000, the applicant must provide a transcript of grades showing a minimum of two (2) full academic years of college or university work of at least sixty (60) semester hours or its equivalent from an accredited institution; or
 - (ii) Before licensure will be granted to an applicant matriculating in a chiropractic college in year 2000 and beyond, the applicant must provide proof that a bachelor's level education or its equivalent has been obtained from an accredited college or university.
 - 3. The educational requirements of part (1)(b)2. of this rule are applicable regardless of whether that education was obtained before or after matriculating into a chiropractic college.

- (c) Examination. Evidence of completing the <u>NBCE_National Chiropractic Board</u>-Examination, pursuant to <u>R</u>rule 0260-02-.08(1).
- (2) To qualify for licensure by criteria (reciprocity), a chiropractic physician must:
 - (a) Provide evidence that he/she is well regarded in moral character and professional ethics as provided in subparagraph 0260-02-.05(1)(g).
 - (b) Possess a valid unrestricted license to practice chiropractic in another state or other regulated jurisdiction for a period of two (2) full years.
 - (c) Provide documentation from the state or other jurisdiction of prior practice attesting to the length of time in practice, along with any disciplinary action taken against the license while in practice.
 - (d) Provide proof of successful completion of the Special Purposes Examination for Chiropractors (SPEC) as administered by the <u>NBCE National Board</u> or its successor <u>pursuant to Rule 0260-02-05(2)(I)</u>.
 - (e) Complete the educational requirements in subparagraph (1)(b) of this rule.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, 4-5-204, <u>63-4-103</u>, <u>63-4-105</u>, <u>63-4-106</u>, <u>63-4-107</u>, <u>63-4-108</u>, <u>63-4-109</u>, <u>63-4-110</u>, <u>63-4-111</u>, <u>and Public</u> Chapter 618 of the Public Acts 2000.Administrative History: Original rule filed April 19, 1983; effective May 19, 1983. Amendment filed August 19, 1983; effective May 19, 1983. Amendment filed October 22, 1987; effective December 6, 1987. Amendment filed April 25, 1989; effective July 29, 1989. Repeal and new rule filed December 28, 1995; effective March 12, 1996. Amendment filed December 13, 2000; effective February 26, 2001. Amendment filed June 3, 2004; effective August 17, 2004.</u>

0260-02-.05 PROCEDURES FOR LICENSURE. To become licensed as a chiropractic physician in Tennessee, a person must comply with the following procedures and requirements:

- (1) Licensure by Examination
 - (a) An application packet shall be requested from the **B**board's administrative office.
 - (b) An applicant shall respond truthfully and completely to every question or request for information contained in the application form and submit it along with all fees required by the form and these rules to the Beoard's administrative office.
 - (c) Applications will be accepted throughout the year, and applicants shall be <u>required to</u> <u>passscheduled to take</u> examinations required in Rule 0260-02-.08.
 - (d) An applicant shall submit with his/her application a passport type photograph. The photo must be signed by the applicant.
 - (e) It is the applicant's responsibility to request a transcript pursuant to T.C.A. § 63-4-I 08(3) which must be submitted directly from the school to the Board's administrative office.

- (f) It is the applicant's responsibility to request that documentation be submitted, directly from the applicant's chiropractic school to the Beoard's administrative office, of graduation from a chiropractic educational institution in compliance with T.C.A. § 63-4-108(2). The chiropractic institution granting the degree of Doctor of Chiropractic must have been accredited with the commission on accreditation of the council on chiropractic education or its successor or an international chiropractic college approved by the Board at the time the degree was granted. The documentation must show that the degree has been conferred.
- (g) An applicant shall submit evidence of good moral character. Such evidence shall be one recent (within the preceding 12 months) original letter from a licensed chiropractic physician attesting to the applicant's personal character and professional ethics on the signator's letterhead.
- (h) An applicant shall request that his/her <u>NBCE_National Board</u>-scores be submitted directly to the Board's administrative office from the testing agency.
- (i) An applicant shall disclose the circumstances surrounding any of the following:
 - 1. Conviction of any criminal law violation of any country, state or municipality, except minor traffic violations.
 - 2. The denial of licensure application by any other state or the discipline of licensure in any state.
 - 3. Loss or restriction of licensure.
 - 4. Any civil suit judgment or civil suit settlement in which the applicant was a party defendant including, without limitation, actions involving malpractice, breach of contract, antitrust activity or any other civil action remedy recognized under the country's or state's statutory, common, or case law.
- (j) An applicant shall cause to be submitted to the Board's administrative office directly from the vendor identified in the Board's licensure application materials, the result of a criminal background check.
- (k) If an applicant holds or has ever held a license to practice as a chiropractic physician in any other state, the applicant shall cause to be submitted the equivalent of a Tennessee Certificate of Endorsement (verification of license) from each such licensing board which indicates the applicant holds or held an active license and whether it is in good standing presently or was at the time it became inactive.
- (I) When necessary, all required documents shall be translated into English and such translation and original document certified as to authenticity by the issuing source. Both version must be submitted.
- (m) Personal resumes are not acceptable and will not be reviewed.
- (n) Application review and licensure decisions shall be governed by <u>R</u>rule 0260-02-.07.

- (2) Licensure by Criteria (Reciprocity).
 - (a) An application packet shall be requested from the **Bb**oard's administrative office.
 - (b) An applicant shall respond truthfully and completely to every question or request for information contained in the application form and submit it along with all fees required by the form and these rules to the Beoard's administrative office.
 - (c) Applications will be accepted throughout the year. Supporting documents requested in these instructions must be received in the Board office within 60 days of receipt of the application by the Board Administrative Office or the file will be deemed abandoned and closed.
 - (d) An applicant shall submit with his/her application a signed and notarized passport type photograph taken within the preceding 12 months. (The photo is to be signed by the applicant and the notary seal must be stamped on the back of the photograph).
 - (e) An applicant shall submit evidence of good moral character. Such evidence shall be one recent (within the preceding 12 months) original letter from a licensed chiropractic physician attesting to the applicant's personal character and professional ethics on the signator's letterhead.
 - (f) An applicant shall disclose the circumstances surrounding any of the following:
 - 1. Conviction of any criminal law violation of any country, state, or municipality, except minor traffic violations.
 - 2. The denial of licensure application by any other state or the discipline of licensure in any state.
 - 3. Loss or restriction of licensure.
 - 4. Any civil suit judgment or civil suit settlement in which the applicant was a party defendant including, without limitation, actions involving malpractice, breach of contract, antitrust activity, or any other civil action remedy recognized under the country's or state's statutory, common or case law.
 - (g) An applicant shall cause to be submitted to the Board's administrative office directly from the vendor identified in the Board's licensure application materials, the result of a criminal background check.
 - (h) If an applicant holds or has ever held a license to practice as a chiropractic physician in another state, the applicant shall cause to be submitted the equivalent of a Tennessee Certificate of endorsement (verification of license) from the licensing board which indicates the applicant holds or held an active license and whether it is in good standing presently or was at the time it became inactive. The applicant must be licensed in at least one (1) other state for a period of two (2) full years.

- (i) When necessary, all required documents shall be translated into English and such translation and original document certified as to authenticity by the issuing source. Both versions must be submitted.
- (j) Personal resumes are not acceptable and will not be reviewed.
- (k) Application review and licensure decisions shall be governed by **R**rule 0260-02-.07.
- (I) Examination of licensure by criteria (reciprocity) applicants.
 - 1. An individual who possesses a valid unrestricted license to practice chiropractic in another state or other regulated jurisdiction for a period of two (2) full years will not be required to have passed Parts 1, 2, 3, and 4 and <u>the physiotherapy</u> examinations administered by the NBCE of the National Board Examination.
 - 2. An individual who possesses a valid unrestricted license to practice chiropractic in another state or other regulated jurisdiction for a period of two (2) full years shall provide proof of successful completion of the Special Purposes Examination for Chiropractors (SPEC) as administered by the <u>NBCE</u> <u>National Board or its</u> <u>successor</u>.
- (m) All other reciprocity applicants will be required to have passed the <u>NBCE</u> <u>National Board</u> Examination as a pre-requirement pursuant to <u>R</u>rule 0260-02-.08.

Authority: T.C.A. §§ <u>63-4-106</u>, 63-4-114, 63-4-119, <u>63-4-120</u>, <u>63-4-121</u>, 63-4-122, and <u>63-4-123</u>, <u>4-5-202</u>, 4-5-204, 63-4-103, 63-4-106, 63-4-108, 63-4-109, 63-4-110, 63-4-111, 63-4-114, and Public Chapter 618 of the Public Acts of 2000. Administrative History: Original rule filed April 19, 1983; effective May 19, 1983. Amendment filed October 22, 1987; effective December 6, 1987. Amendment filed April 25, 1989; effective July 29, 1989. Amendment filed December 8, 1989; effective January 22, 1990. Repeal and new rule filed December 28, 1995; effective March 12, 1996. Amendment filed November 22, 1999; effective February 5, 2000. Amendment filed December 13, 2000; effective February 26, 2001. Amendment filed March 17, 2006; effective May 31, 2006.

0260-02-.07 APPLICATION REVIEW, APPROVAL, DENIAL, INTERVIEWS.

- (1) An application packet shall be requested from the **B**board's administrative office.
- (2) Review of all applications to determine whether or not the application file is complete may be delegated to the Bboard's Unit Director, provided that approval of all applications is made and ratified by the Bboard.
- (3) If an application is incomplete when received in the **B**board's administrative office, the applicant will be notified of the deficiency. The requested information must be received in the **B**board's administrative office within (60) days of the request.
- (4) If the requested information is not received within (60) days of the request, the application file shall become inactive and the applicant notified. No further board action will take place until the application is completed pursuant to the rules governing the application process.

- (5) If a completed application has been denied and ratified as such by the Bboard the action shall become final and the following shall occur:
 - (a) A notification of the denial shall be sent by the Bboard's administrative office by certified mail. return receipt requested. Specific reasons for denial will be stated such as incomplete information, unofficial records, examination failure or other matters judged insufficient for licensure, and such notification shall contain all the specific statutory or rule authorities for the denial.
 - (b) The notification, when appropriate, shall also contain a statement of the applicant's right to request a contested case hearing under the Tennessee Administrative Procedures Act (T.C.A. §§ 4-5-301, et seq.) to contest the denial and the procedure necessary to accomplish that action.
 - (c) An applicant has a right to a contested case hearing only if the licensure denial is based on subjective or discretionary criteria.
 - (d) An applicant may be granted a contested case hearing if licensure denial is based on an objective, clearly defined criteria only if after review and attempted resolution by the Bboard's administrative staff, the licensure application can not be approved and the reasons for continued denial present a genuine issue of fact and/or law which is appropriate for appeal. Such request must be made in writing to the Bboard within 30 days of the receipt of the notice of denial from the Bboard.
- (6) The Bboard or its designee may delay a decision on eligibility to take the examination for any applicant for whom the Bboard wishes additional information for the purpose of clarifying information previously submitted. This request is to be in writing and sent by certified mail, return receipt requested. The applicant's response must be made and received at the Board's Administrative Office within 60 days from the date of receipt of the notice by the applicant or the application will be closed.
- (7) If the Bboard finds it has erred in the issuance of a license, the Bboard will give written notice by certified mail of its intent to revoke the license. The notice will allow the applicant the opportunity to meet the requirements of licensure within 30 days from the date of receipt of the notification. If the applicant does not concur with the stated reason and the intent to revoke the license, the applicant shall have the right to proceed according to RFule 0260-02-.07(5).
- (8) Abandonment of Application
 - (a) An application shall be deemed abandoned and closed if the application has not been completed by the applicant within 60 days after it was initially submitted and reviewed.
 - (b) The above action must be ratified by the \underline{B} board.
 - (c) An application submitted subsequent to the abandonment of a prior application shall be treated as a new application.

- (9) If an applicant requests an entrance for licensure, and wishes to change that application to a different type of entrance, a new application, with supporting documents and appropriate fee(s) must be submitted, i.e., reciprocity to examination.
- (10) Interviews
 - (a) Applicants, who by virtue of any criteria for licensure in the areas of mental, physical, moral or educational capabilities, as contained in the application and review process which indicates derogatory information or a potential risk to the public health, safety and welfare, may be required to present themselves to the Board for an interview before final licensure may be granted. The interviews, which may be required, are considered part of the licensure process.
 - (b) Applicants who have completed all requirements and procedures for licensure and who are not impacted by subparagraph (a) shall present themselves to a Board member for an interview <u>in-person or through the use of real-time interactive audio and video</u> <u>telecommunications at the Board member's choosing</u> which shall include a discussion regarding the applicant's knowledge and understanding of pertinent statutes, regulations and ethics codes. The applicant shall schedule the interview to occur within sixty (60) days of his/her receipt of the Board's written approval to commence.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, <u>4-5-204</u>, <u>4-5-301</u>, <u>63-4-102</u>, <u>63-4-103</u>, <u>63-4-106</u>, <u>63-4-107</u>, <u>63-4-108</u>, <u>63-4-109</u>, <u>63-4-110</u>, <u>63-4-111</u>, <u>63-4-111</u>, <u>63-4-112</u>, <u>63-4-115</u>, <u>nd Public Chapter 618 of the Public Acts 2000</u>. Administrative History: Original rule filed April 19, <u>1983</u>; effective May 19, <u>1983</u>. Amendment filed October 22, <u>1987</u>; effective December 6, <u>1987</u>. Amendment filed April 25, <u>1989</u>; effective July 29, <u>1989</u>. Repeal and new rule filed December 28, <u>1995</u>; effective March 12, <u>1996</u>. Amendment filed December 13, <u>2000</u>; effective February 26, <u>2001</u>. Amendment filed April 12, <u>2002</u>; effective June 26, <u>2002</u>. Amendment filed August 26, <u>2002</u>; effective November 9, <u>2002</u>. Amendment filed October 29, <u>2008</u>; effective January 12, <u>2009</u>.</u>

0260-02-.08 EXAMINATIONS.

- (1) The examination of applicants for a license to practice chiropractic will consist of the <u>NBCE</u> <u>Natinal</u> <u>Board</u> Examination as directed by the Board. Each applicant must successfully pass all examinations before receiving a license to practice chiropractic unless this requirement is specifically waived pursuant to T.C.A. § 63-4-111.
- (2) A score of 375 on each part of the <u>NBCE Examination National Board of Chiropractic Examiners'</u> <u>Examinations</u> and Physiotherapy <u>Examination</u> shall be the minimum passing score for each applicant.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-1011</u>. 4-5-202, 4-5-204, 4-5-209, <u>63-1-107</u>, <u>63-1-108</u>, <u>63-4-103</u>, <u>63-4-106</u>, <u>63-4-107</u>, <u>63-4-109</u>, <u>63-4-110</u>, <u>63-4-110</u>, <u>63-4-110</u>, <u>63-4-110</u>, <u>63-4-110</u>, <u>63-4-110</u>, <u>63-4-110</u>, <u>63-4-110</u>, <u>63-4-109</u>, <u></u></u>

0260-02-.11 RETIREMENT AND REACTIVATION OF LICENSE.

- (1) A person who holds a current license and does not intend to practice as a "licensed chiropractic physician" may apply to convert an active license to retired status. An individual who holds a retired license will not be required to pay the renewal fee.
- (2) A person who holds an active license may apply for retired status in the following manner:
 - (a) Obtain form, complete, and submit to the <u>B</u>oard's administrative office an affidavit of retirement form.
 - (b) Submit any documentation which may be required to the **B**board's administrative office.
- (3) Licensure holders whose license has been retired may re-enter active status by doing the following:
 - (a) Submit a written request for licensure reactivation to the Beoard's administrative office.
 - (b) Pay the licensure renewal fees and state regulatory fees as provided in <u>R</u>rule 0260-02-.06. If retirement reactivation is requested prior to the expiration of one year from the date of retirement, the <u>B</u>board will require payment of the late renewal fee and past due renewal fees.
 - (c) Submit proof of and comply with Rule 0260-02-.12(6)(a) regarding continuing education.
- (4) Licensure reactivation applications shall be treated as licensure applications and review decisions shall be governed by Rrule 0260-02-.07.

Authority: T.C.A. §§ 4-5-202, 4-5-204, 63-4-106, and 63-4-112. Administrative History: Original rule filed December 28, 1995; effective March 12, 1996.

0260-02-.12 CONTINUING EDUCATION.

- Basic requirements The Board of Chiropractic Examiners requires each licensee to complete twenty-four (24) clock hours of Board-approved continuing education each calendar year (January 1 - December 31).
 - (a) Acupuncture Licensees who practice acupuncture shall have six (6) classroom hours each year of the required twenty-four (24) hours in the area of acupuncture. Such licensees must have first met the requirements of Rule 0260-02-.02(4). No credit for continuing education shall be awarded beyond the six (6) hours each year.
 - (b) Cardiopulmonary Resuscitation (CPR) Two (2) hours of the twenty-four (24) hour requirement may be in CPR training.
 - (c) No prior approval is required for continuing education courses conducted or approved by the American Chiropractic Association, the International Chiropractors Association, the Tennessee Chiropractic Association, <u>and courses approved by the Tennessee</u>

<u>Chiropractic Association. No prior approval is required for or</u> CPR training provided by the American Heart Association or the American Red Cross.

- (d) Prior approval is required for all course providers not mentioned in subparagraph (c) of this rule, and may be obtained by submitting the following information to the Beoard's administrative office at least thirty (30) days prior to the scheduled date of the course:
 - 1. A <u>detailed</u> course <u>outline or syllabus</u>; including method of instruction <u>description</u> or <u>outline</u> and <u>testing materials</u>, if any; and
 - 2. Names of all lecturers and affiliations, if any; and
 - 3. Brief résumé of all lecturers; and
 - 4. Number of hours of educational credit requested; and
 - 5. Date of course; and
 - 6. Copies of materials to be utilized in the course; and
 - 7. How verification of attendance is to be documented.
- (e) Whenever the Board decides that there is information that is crucial for licensees to have, it may prepare and send that information to all continuing education providers in a format no larger than two (2) pages. All approved continuing education providers must, as a prerequisite to remaining an approved provider, reproduce and distribute this information from the Board to every licensee attending each of its individual continuing education courses or group of courses.
- (2) New licensee requirements
 - (a) A six (6) hour Board approved course in risk management, sexual/professional boundaries, and Tennessee statutory and regulatory chiropractic jurisprudence must be taken within six (6) tweele (12) months prior to licensure or within the first six (6) months of licensure. If taken prior to licensure, the course must be an additional course beyond the regular chiropractic school program. If taken within the first six (6) months after licensure, the course shall not constitute part of the twenty-four (24) clock hour continuing education requirement in paragraph (1) of this rule for the first calendar year that continuing education is required.
 - (b) New licensee by examination or reciprocity New licensees, whether by examination or reciprocity, shall be exempted from the continuing education requirements of 0260-02-.12(1) for the calendar year in which they are licensed, but must take the six (6) hour course as described above in 0260-02-.12(2)(a).
- (3) Documentation

- (a) The licensee must, within thirty (30) days of a request from the Beoard, provide evidence of continuing education activities. Such evidence must be copies of one (1) or more of the following proofs:
 - Original certificates or photocopies of original certificates verifying the licensee's attendance at continuing education program(s). The original certificates or photocopies of original certificates must include the following: continuing education program's sponsor, date, clock hours awarded (continuing education units must be converted to clock hours), program title, licensee's name, and license number.
 - 12. Original <u>certificates letters</u>or photocopies of original <u>certificates verifying the</u> <u>licensee's attendance at approved continuing education program(s)</u>. The original <u>certificates or photocopies of original certificates must include the following: letters</u> <u>on official stationery from the</u> continuing education program's <u>approved provider</u> <u>or course, name and logo of the approved course provider or sponsor, sponsor</u> <u>indicating</u> date, clock hours awarded (continuing education units must be converted to clock hours), <u>approved program or course</u> title, licensee's name, and license number.
 - 23. Original documents or photocopies of original documents verifying successful completion of a written post experience examination to evaluate material retention upon completion of a Multi-Media course, as provided in paragraph (5). The original documents or photocopies of original documents must include the clock hours awarded (continuing education units must be converted to clock hours), program title, licensee's name, and license number.
- (b) Each licensee must retain original documents or photocopies of original documents which verify proof of attendance and completion of all continuing education requirements. This documentation must be retained for a period of four (4) years from the end of the calendar year in which the continuing education was acquired. This documentation must be produced for inspection and verification, if requested in writing by the Bboard during its verification process. The Bboard will not maintain continuing education files.
- (c) If a person submits documentation for training that is not clearly identifiable as appropriate continuing education, the Beoard will request a written description of the training and how it applies to the practice of chiropractic. If the Beoard determines that the training can not be considered appropriate continuing education, the individual will be given ninety (90) days to replace the hours not allowed. Those hours will be considered replacement hours and cannot be counted during the next renewal period.
- (4) Distance Learning
 - (a) Continuing education courses may be presented in the traditional lecture and classroom formats or, with successful completion of a written post experience examination to evaluate material retention, in Multi-Media formats. Multi-Media courses may include courses utilizing:
 - 1. The Internet

- 2. Closed circuit television
- 3. Satellite broadcasts
- 4. Correspondence courses
- 5. Videotapes
- 6. CD-ROM
- 7. DVD
- 8. Teleconferencing
- 9. Videoconferencing
- 10. Distance learning
- (b) A maximum of six (6) credit hours may be granted for distance learning courses during each calendar year. If the licensee practices acupuncture, three (3) of these six (6) hours may pertain to such acupuncture practice.
- (5) Continuing education credit will not be allowed for the following:
 - (a) Regular work activities, administrative staff meetings. case staffing/reporting, etc.
 - (b) Membership in, holding office in, or participation on boards or committees, business meetings of professional organizations, or banquet speeches.
 - (c) Training specifically related to policies and procedures of an agency.
 - (d) Courses that pertain to the promotion and growth, and the business management of a chiropractic practice. However, up to three (3) hours of clinical documentation is allowed.
- (6) Continuing Education for Reactivation or Reinstatement of Retired, Revoked, or Expired Licensure.
 - (a) Reactivation of Retired Licensure
 - 1. An individual whose license has been retired for one year or less will be required to fulfill continuing education requirements as outlined in this rule as a prerequisite to reactivation. Those hours will be considered replacement hours and can not be counted toward meeting the calendar year end requirement.
 - 2. Any individual requesting reactivation of a license which has been retired for more than one (1) year must submit, along with the reactivation request, verification which indicates the attendance and completion of twenty-four (24) hours of continuing education for the year in which the license is to be reactivated. The continuing education hours must have been begun and successfully completed before the date of reactivation.

- (b) Reactivation of Revoked Licensure No person whose license has been revoked for failure to comply with continuing education may be reactivated without complying with these requirements. Continuing education requirements will accumulate at the same rate as that for those licenses which are active. The required clock hours of continuing education must have been begun and successfully completed before the date of reactivation.
- (c) Reactivation or Reinstatement of Expired Licensure No person whose license has expired may be reactivated or reinstated without submitting evidence of continuing education. The continuing education hours documented at the time of reactivation or reinstatement must equal the hours required, had the license remained in an active status, and must have been begun and successfully completed before the date of reactivation or reinstatement.
- (d) Continuing education hours obtained as a prerequisite for reactivating or reinstating a license may not be counted toward the calendar year requirement.

(7) Violations

- (a) Any licensee who falsely certifies attendance and completion of the required hours of continuing education requirements, or who does not or can not adequately substantiate completed continuing education hours with the required documentation, may be subject to disciplinary action.
- (b) Prior to the institution of any disciplinary proceedings, a letter shall be issued to the last known address of the individual stating the facts or conduct which warrant the intended action.
- (c) The licensee has thirty (30) days from the date of notification to show compliance with all lawful requirements for the retention of the license.
- (d) Any licensee who fails to show compliance with the required continuing education hours in response to the notice contemplated by subparagraph (b) above may be subject to disciplinary action. That disciplinary action will include: (1) Assessment of a civil penalty in the amount of six hundred dollars (\$600.00), which must be paid no later than 30 days after the assessment becomes final; and (2) A requirement that the licensee must make up the hours in which he/she is deficient, in addition to the continuing education hours necessary to be obtained annually. The deficient hours must be made up in the subsequent year. Failure to fulfill these requirements may result in additional disciplinary action.
- (e) Continuing education hours obtained as a result of compliance with the terms of a Board Order in any disciplinary action shall not be credited toward the continuing education hours required to be obtained in any renewal period.
- (8) Waiver of Continuing Education
 - (a) The Board may grant a waiver of the need to attend and complete the required hours of continuing education if it can be shown to the Beoard that compliance was beyond the physical capabilities of or would constitute undue hardship to the person seeking the waiver.

- (b) Waivers will be considered only on an individual basis and may be requested by submitting the following items to the Bboard's administrative office:
 - 1. A written request for a waiver which specifies what requirements is sought to be waived and written and signed explanation of the reason for the request.
 - 2. Any documentation which supports the reason for the waiver requested or which is subsequently requested by the Bboard.
- (c) A waiver approved by the Bboard is effective only for the calendar year for which the waiver is sought.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, and <u>63-4-123</u>4-<u>5-202</u>, 4-<u>5-204</u>, <u>63-1-108</u>, <u>63-4-101</u>, <u>63-4-106</u>, and <u>63-4-112</u>. Administrative History: Original rule filed December 28, <u>1995</u>; effective March <u>12</u>, <u>1996</u>. Amendment filed December <u>13</u>, <u>2000</u>; effective February <u>26</u>, <u>2001</u>. Amendment filed August <u>26</u>, <u>2002</u>; effective November <u>9</u>, <u>2002</u>. Amendment filed September <u>13</u>, <u>2002</u>; effective November <u>27</u>, <u>2002</u>. Amendment filed September <u>27</u>, <u>2002</u>; effective December <u>11</u>, <u>2002</u>. Amendment filed December <u>4</u>, <u>2003</u>; effective February <u>17</u>, <u>2004</u>. Amendments filed January <u>5</u>, <u>2006</u>; effective March <u>21</u>, <u>2006</u>. Amendment filed October <u>18</u>, <u>2006</u>; effective January <u>1</u>, <u>2007</u>. Amendment filed January <u>11</u>, <u>2007</u>; effective March <u>27</u>, <u>2007</u>. Amendment filed April <u>10</u>, <u>2007</u>; effective June <u>24</u>, <u>2007</u>. Amendments filed October <u>27</u>, <u>2008</u>; effective January <u>10</u>, <u>2009</u>. Amendment filed July <u>26</u>, <u>2010</u>; effective October <u>24</u>, <u>2010</u>. Amendment filed October <u>14</u>, <u>2011</u>; effective January <u>12</u>, <u>2012</u>. Amendments filed February <u>17</u>, <u>2017</u>; effective May <u>18</u>, <u>2017</u>.

0260-02-.13 PROFESSIONAL ETHICS. Immoral, unprofessional, unethical, or dishonorable conduct shall include, but not be limited to, the following:

- (1) Conduct designed to, or likely to, deceive, or harm the public.
- (2) Being a party to or aiding and abetting the violation of these regulations or the laws of the State of Tennessee regulating the practice of chiropractic.
- (3) The intentional or negligent use of any false, fraudulent or forged statement, writing or document, or the use of any fraudulent, deceitful, dishonest, or immoral practice in connection with any of the licensing requirements of T.C.A. §§ 63-4-101, et seq.
- (4) Advertising or promoting, waiving, abrogating, or rebating the deductible and/or co-payment amounts of any insurance policy by forgiving any or all of any patient's obligations for payment, unless the insurer is notified in writing of the fact of such waiver. Advertising or promoting the same must include the notice to the insurer and the record must include proof of the actual notice. If the patient is unable, due to circumstances, to pay for their services and no advertising or promotion is given to the patient, the provider may excuse any portion of fees to <u>ensureinsure</u> that the patient may receive the necessary procedure.
- (5) Violation of the Social Media Standards.
 - (a) The term social media refers to all internet and mobile technologies and practices that are used to share content, opinions, experiences and perspectives online. Social media has

become widely used by people as a means of communication and in many instances, has become the preferred method of communication. Examples of social media platforms include, but are not limited to: Webpages, Instagram, Facebook, Twitter, YouTube, LinkedIn, and blogging sites.

- (b) Social media may present opportunities to enhance patient care, education about chiropractic, intra and inter-professional communication and collaboration, and opportunities for continuing education and professional development.
- (c) A licensee is expected to comply with all existing legal, regulatory and professional obligations when engaging in the use of social media, including all relevant legislation, regulation, standards of practice, policies and guidelines. The following guidelines identify some of those obligations as they relate to the use of social media. A licensee must:
 - Uphold and comply with all legal and professional obligations to maintain privacy and confidentiality of patients in accordance with the Health Insurance Portability and Accountability Act (HIPAA). A licensee may not divulge information through social media that identifies a patient by name or through a combination of other identifying information.
 - 2. Any communication between a licensee and patient, such as providing information, must be done through secure private messaging only. A licensee must have a clear understanding of the privacy settings available in any use of social media, and apply their use accordingly. While patients or licensees of the public may make themselves publicly known through posting, a licensee must not breach the privacy or confidentiality of a patient in any context.
 - 3. Not provide any clinical advice, communicate a diagnosis and/or guarantee results to a patient or any public through social media. However, a licensee may provide general health information related to the chiropractic scope of practice for educational or informational purposes.
 - 4. Regularly review Tennessee chiropractic scope of practice both in statute and rule. A licensee must be aware of the risks of using social media for professional reasons, such as the public incorrectly applying information found online to their personal health situation. Whenever a licensee uses his professional designation or provides health related information, that licensee is viewed as acting in a professional capacity. A licensee must exercise caution when posting health related information, so that it is clearly used for education or informational purposes, and must not be used as clinical advice.
 - 5. Exercise caution when posting health related information and links to journal articles or academic information to ensure he/she is not infringing on any copyrighted material.
 - 6. Maintain appropriate professional boundaries, and avoid posting information, comments or images that may be perceived as disgraceful, dishonorable or unprofessional. A licensee is not to post any information to social media that may be perceived as harassment, bullying, or inflammatory in nature. A licensee is

further encouraged to have separate personal and professional social media pages;

- 7. Comply with relevant scope of practice, professional ethics and advertising provisions under Tenn. Comp. R. & Regs. Rule sections 0260-02-.02, 0260-02-.13, 0260-02-.20 respectively.
- 8. Understand that information that is posted online cannot be removed easily. A member must consider his legal, professional and regulatory obligations and exercise good judgment and caution before posting material to social media.
- (65) Grossly excessive use of examinations of a patient or a pattern of clearly excessive use of examinations of patients when patients are charged for this service or reimbursement of the examination(s) is requested from a third party. The conduct mentioned herein is presumed to have occurred when there is no documented, substantiated, finding(s) or condition(s) of the patient(s) which warrant(s) the use of examination(s) for a chiropractic diagnosis.
- (76) Grossly excessive use of care and treatment of a patient or a pattern of clearly excessive use of care and treatment of patients when patients are charged for this service or reimbursement of payment for the care and treatment is requested from a third party. The conduct mentioned herein is presumed to have occurred when there is no documented, substantiated finding(s) or condition(s) of the patient(s) based on a chiropractic diagnosis which warrant(s) the treatment(s) and care performed.
- (87) A chiropractic physician shall not overutilize or otherwise improperly use ionizing radiation. In order to avoid overutilization of ionizing radiation, a chiropractic physician shall observe the following guidelines:
 - (a) Routine radiography of any patient shall not be performed without due regard for clinical need;
 - (b) Subsequent radiographic evaluation of the patient shall not be undertaken without significant observable clinical indication, as determined by the treating chiropractic physician. The significant observable indication required by this subsection shall not apply to reevaluations of the spinal subluxation complex. The spinal subluxation complex is determined to be a significant observable indication.
- (98) Sexual misconduct.
 - (a) Licensees shall not engage in sexual harassment. Sexual harassment is sexual solicitation, physical advances or verbal or nonverbal conduct that is sexual in nature, that occurs in connection with the licensee's activities or roles as a licensee and that either is unwelcome, offensive, or creates a hostile workplace environment and the licensee knows or is told this, or is sufficiently severe or intense to be abusive to a reasonable person in the context. Sexual harassment can consist of a single intense or severe act or of multiple persistent or pervasive acts.
 - (b) Licensees shall not engage in sexual relationships with current patients, employees, or coworkers because such relationships are likely to impair judgment or be exploitative.

- (c) Licensees shall not accept as patients persons with whom they have engaged in sexual intimacies.
- (d) Licensees shall not engage in sexual intimacies with a former patient for at least six (6) months after cessation or termination of professional services.
- (e) Licensees must respect a patient's dignity at all times and should provide appropriate gowns and private facilities for dressing, undressing, and examination. A licensee should not be present in the room when a patient is dressing or undressing.
- (f) Licensees may have a chaperone present during examination for the protection of both the patient and the licensee. A licensee should refuse to examine sensitive parts of the patient's body without a chaperone present.
- (109) Unlicensed assistants Licensees shall not allow staff personnel to perform procedures or services in the clinic for which the personnel do not hold the license or certification required for such performance. This includes those services for which certification as a chiropractic therapy assistant or a chiropractic x-ray technologist is required. Violators will be subject to a civil penalty of up to one thousand dollars (\$1000.00) per month for each employee found to be practicing without a license. Failure to pay any assessed civil penalty may result in additional disciplinary action.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, 4-5-204, <u>63-4-101</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-123</u>, <u>and <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, 4-5-204, <u>63-4-101</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-123</u>, <u>and <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, 4-5-204, <u>63-4-101</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-123</u>, <u>and <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, 4-5-204, <u>63-4-101</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-123</u>, <u>and <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, 4-5-204, <u>63-4-101</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-123</u>, <u>and <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, <u>rule filed December 28</u>, <u>1995</u>; <u>effective March 12</u>, <u>1996</u>. <u>Amendment filed February 12</u>, <u>1996</u>; <u>effective April</u> <u>27</u>, <u>1996</u>. <u>Amendment filed April 14</u>, <u>2003</u>; <u>effective June 2003</u>. <u>Amendments filed February 17</u>, <u>2017</u>; <u>effective May 18</u>, <u>2017</u>.</u></u></u></u></u></u></u></u></u></u></u>

0260-02-.15 DISCIPLINARY ACTIONS, CIVIL PENALTIES, SCREENING PANELS, SUBPOENAS, AND ASSESSMENT OF COSTS.

- (1) Upon a finding by the Bboard that a chiropractic physician has violated any provision of the T.C.A. §§ 63-4-101, et seq., or the rules promulgated thereto, the Bboard may impose any of the following actions separately or in any combination deemed appropriate to the offense.
 - (a) Advisory Censure This is a written action issued to the chiropractic physician for minor or near infractions. It is informal and advisory in nature and does not constitute a formal disciplinary action.
 - (b) Formal censure or reprimand This is a written action issued to a chiropractic physician for one time and less severe violation(s). It is a formal disciplinary action.
 - (c) Probation This is a formal disciplinary action which places a chiropractic physician on close scrutiny for a fixed period of time. This action may be combined with conditions which must be met before probation will be lifted and/or which restrict the individual's activities during the probationary period.

- (d) Licensure Suspension This is a formal disciplinary action which suspends an individual's right to practice for a fixed period of time. It contemplates the reentry of the individual into the practice under the licensure previously issued.
- (e) Licensure Revocation This is the most severe form of disciplinary action which removes an individual from the practice of the profession and terminates the licensure previously issued. If revoked, it relegates the violator to the status he possessed prior to application for licensure. However, the Beoard may in its discretion allow the reinstatement of a revoked certificate or license upon conditions and after a period of time which it deems appropriate. No petition for reinstatement and no new application for licensure from a person whose license was revoked shall be considered prior to the expiration of at least one year unless otherwise stated in the Beoard's revocation order.
- (f) Conditions Any action deemed appropriate by the Board to be required of a disciplined licensee in any of the following circumstances:
 - 1. During any period of probation, suspension; or
 - 2. During any period of revocation after which the licensee may petition for an order of compliance to reinstate the revoked license; or
 - 3. As a prerequisite to the lifting of probation or suspension or as a prerequisite to the reinstatement of a revoked license; or
 - 4. As a stand-alone requirement(s) in any disciplinary order.
- (g) Civil penalty A monetary disciplinary action assessed by the Board pursuant to paragraph (4) of this rule.
- (h) Once ordered, probation, suspension, revocation, assessment of a civil penalty, or any other condition of any type of disciplinary action may not be lifted unless and until the licensee petitions, pursuant to paragraph (2) of this rule, and appears before the Board after the period of initial probation, suspension, revocation, or other conditioning has run and all conditions placed on the probation, suspension, revocation, have been met, and after any civil penalties assessed have been paid.
- (2) Order of Compliance This procedure is a necessary adjunct to previously issued disciplinary orders and is available only when a petitioner has completely complied with the provisions of a previously issued disciplinary order, including an unlicensed practice civil penalty order, and wishes or is required to obtain an order reflecting that compliance.
 - (a) The Board will entertain petitions for an Order of Compliance as a supplement to a previously issued order upon strict compliance with the procedures set forth in subparagraph (b) in only the following three (3) circumstances:
 - 1. When the petitioner can prove compliance with all the terms of the previously issued order and is seeking to have an order issued reflecting that compliance; or

- 2. When the petitioner can prove compliance with all the terms of the previously issued order and is seeking to have an order issued lifting a previously ordered suspension or probation; or
- 3. When the petitioner can prove compliance with all the terms of the previously issued order and is seeking to have an order issued reinstating a license previously revoked.
- (b) Procedures
 - 1. The petitioner shall submit a Petition for Order of Compliance, as contained in subparagraph (c), to the Board's Administrative Office that shall contain all of the following:
 - (i) A copy of the previously issued order; and
 - (ii) A statement of which provision of subparagraph (a) the petitioner is relying upon as a basis for the requested order; and
 - (iii) A copy of all documents that prove compliance with all the terms or conditions of the previously issued order. If proof of compliance requires testimony of an individual(s), including that of the petitioner, the petitioner must submit signed statements from every individual the petitioner intends to rely upon attesting, under oath, to the compliance. The Board's consultant and administrative staff, in their discretion, may require such signed statements to be notarized. No documentation or testimony other than that submitted will be considered in making an initial determination on, or a final order in response to, the petition.
 - 2. The Board authorizes its consultant and administrative staff to make an initial determination on the petition and take one of the following actions:
 - (i) Certify compliance and have the matter scheduled for presentation to the Board as an uncontested matter; or
 - (ii) Deny the petition, after consultation with legal staff, if compliance with all of the provisions of the previous order is not proven and notify the petitioner of what provisions remain to be fulfilled and/or what proof of compliance was either not sufficient or not submitted.
 - 3. If the petition is presented to the Board the petitioner may not submit any additional documentation or testimony other than that contained in the petition as originally submitted.
 - 4. If the Board finds that the petitioner has complied with all the terms of the previous order an Order of Compliance shall be issued.
 - 5. If the petition is denied either initially by staff or after presentation to the Board and the petitioner believes compliance with the order has been sufficiently proven the

petitioner may, as authorized by law, file a petition for a declaratory order pursuant to the provisions of T.C.A. §§ 4-5-223 and R-fule 1200-10-01-.11.

Form Petition	Petition for Order of Compliance
	Board of Chiropractic Examiners
Petitioner's Name: Petitioner's Mailing Address:	
Petitioner's E-Mail Address: Telephone Number:	
Attorney for Petitioner: Attorney's Mailing Address:	
Attorney's E-Mail Address: Telephone Number:	

(c)

The petitioner respectfully represents, as substantiated by the attached documentation, that all provisions of the attached disciplinary order have been complied with and I am respectfully requesting: (circle one)

- 1. An order issued reflecting that compliance; or
- 2. An order issued reflecting that compliance and lifting a previously ordered suspension or probation; or
- 3. An order issued reflecting that compliance and reinstating a license previously revoked.

Note - You must enclose all documents necessary to prove your request including a copy of the original order. If any of the proof you are relying upon to show compliance is the testimony of any individual, including yourself, you must enclose signed statements from every individual you intend to rely upon attesting, under oath, to the compliance. The Board's consultant and administrative staff, in their discretion, may require such signed statements to be notarized. No documentation or testimony other than that submitted will be considered in making an initial determination on, or a final order in response to, this petition.

Respectfully submitted this the ___ day of _____, 20__.

Petitioner's Signature

(3) Order Modifications - This procedure is not intended to allow anyone under a previously issued disciplinary order, including an unlicensed practice civil penalty order, to modify any findings of fact,

conclusions of law, or the reasons for the decision contained in the order. It is also not intended to allow a petition for a lesser disciplinary action, or civil penalty other than the one(s) previously ordered. All such provisions of Board orders were subject to reconsideration and appeal under the provisions of the Uniform Administrative Procedures Act (T.C.A. §§ 4-5-301, et seq.). This procedure is not available as a substitute for reconsideration and/or appeal and is only available after all reconsideration and appeal rights have been either exhausted or not timely pursued. It is also not available for those who have accepted and been issued a reprimand.

- (a) The Board will entertain petitions for modification of the disciplinary portion of previously issued orders upon strict compliance with the procedures set forth in subparagraph (b) only when the petitioner can prove that compliance with any one or more of the conditions or terms of the discipline previously ordered is impossible. For purposes of this rule the term "impossible" does not mean that compliance is inconvenient or impractical for personal, financial, scheduling or other reasons.
- (b) Procedures
 - 1. The petitioner shall submit a written and signed Petition for Order Modification on the form contained in subparagraph (c) to the Board's Administrative Office that shall contain all of the following:
 - (i) A copy of the previously issued order; and
 - (ii) A statement of why the petitioner believes it is impossible to comply with the order as issued; and
 - (iii) A copy of all documents that proves that compliance is impossible. If proof of impossibility of compliance requires testimony of an individual(s), including that of the petitioner, the petitioner must submit signed and notarized statements from every individual the petitioner intends to rely upon attesting, under oath, to the reasons why compliance is impossible. No documentation or testimony other than that submitted will be considered in making an initial determination on, or a final order in response to, the petition.
 - 2. The Board authorizes its consultant and administrative staff to make an initial determination on the petition and take one of the following actions:
 - Certify impossibility of compliance and forward the petition to the Office of General Counsel for presentation to the Board as an uncontested matter; or
 - (ii) Deny the petition, after consultation with legal staff, if impossibility of compliance with the provisions of the previous order is not proven and notify the petitioner of what proof of impossibility of compliance was either not sufficient or not submitted.
 - 3. If the petition is presented to the Board the petitioner may not submit any additional documentation or testimony other than that contained in the petition as originally submitted.

- 4. If the petition is granted a new order shall be issued reflecting the modifications authorized by the Board that it deemed appropriate and necessary in relation to the violations found in the previous order.
- 5. If the petition is denied either initially by staff or after presentation to the Board and the petitioner believes impossibility of compliance with the order has been sufficiently proven the petitioner may, as authorized by law, file a petition for a declaratory order pursuant to the provisions of T.C.A. §§ 4-5-223 and <u>R</u>rule 1200-10-01-.11.
- (c) Form Petition

Petition for Order Modification Board of Chiropractic Examiners

Petitioner's Name: Petitioner's Mailing Address:	
-	
Petitioner's E-Mail Address: Telephone Number:	
Attorney for Petitioner: Attorney's Mailing Address:	
radiose.	
Attorney's E-Mail Address:	
Telephone Number:	

The petitioner respectfully represents that for the following reasons, as substantiated by the attached documentation, the identified provisions of the attached disciplinary order are impossible for me to comply with:

.....

Note - You must enclose all documents necessary to prove your request including a copy of the original order. If any of the proof you are relying upon to show impossibility is the testimony of any individual, including yourself, you must enclose signed and notarized statements from every individual you intend to rely upon attesting, under oath, to the reasons why compliance is impossible. No documentation or testimony other than that submitted will be considered in making an initial determination on, or a final order in response to, this petition. Respectfully submitted this the ____ day of _____, 20__.

Petitioner's Signature

(4) Civil Penalties

- (a) Purpose The purpose of this rule is to set out a schedule designating the minimum and maximum civil penalties which may be assessed pursuant to Public Chapter 389, Acts of 1989.
- (b) Schedule of Civil Penalties
 - 1. A Type A civil penalty may be imposed whenever the Bboard finds the person who is required to be licensed or certified by the Bboard is guilty of a willful and knowing violation of the Chiropractic Examiners Practice Act, or regulations promulgated pursuant thereto, to such an extent that there is, or is likely to be an imminent substantial threat to the health, safety and welfare of an individual client or the public. For purposes of this section, a Type A civil penalty shall include, but not be limited to, a person who willfully and knowingly is or was practicing as a chiropractic physician without a license from the Bboard.
 - 2. A Type B civil penalty may be imposed whenever the Beoard finds the person required to be licensed or certified by the Beoard is guilty of a violation of the Chiropractic Examiners Practice Act or regulations promulgated pursuant thereto in such manner as to impact directly on the care of clients or the public.
 - 3. A Type C civil penalty may be imposed whenever the Bboard finds the person required to be licensed or certified by the Bboard is guilty of a violation of the Chiropractic Examiners Practice Act or regulations promulgated pursuant thereto, which are neither directly detrimental to the clients or public, nor directly impact their care, but have only an indirect relationship to client care or the public.
- (c) Amount of Civil Penalties
 - 1. Type A civil penalties shall be assessed in the amount of not less than \$500 and not more than \$ 1000.
 - 2. Type B civil penalties may be assessed in the amount of not less than \$100 and not more than \$500.
 - 3. Type C civil penalties may be assessed in the amount of not less than \$50 and not more than \$100.
- (d) Procedures for Assessing Civil Penalties
 - 1. The Division of Health Related Boards may initiate a civil penalty assessment by filing a Memorandum of Assessment of Civil Penalty. The Division shall state in the memorandum the facts and law upon which it relies in alleging a violation, the proposed amount of the civil penalty and the basis for such penalty. The Division

may incorporate the Memorandum of Assessment of Civil Penalty with a Notice of Charges which may be issued attendant thereto.

- 2. Civil Penalties may also be initiated and assessed by the Bboard during consideration of any Notice of Charges. In addition, the Bboard may, upon good cause shown, assess type and amount of civil penalty which was not recommended by the Division.
- 3. In assessing the civil penalties pursuant to these rules the Bboard may consider the following factors:
 - (i) Whether the amount imposed will be a substantial economic deterrent to the violator;
 - (ii) The circumstances leading to the violation;
 - (iii) The severity of the violation and the risk of harm to the public;
 - (iv) The economic benefits gained by the violator as a result of noncompliance; and
 - (v) The interest of the public.
- 4. All proceedings for the assessment of civil penalties shall be governed by the contested case provisions of T.C.A. Title 4, Chapter 5.
- (5) Screening Panels Any screening panel(s) established pursuant to T.C.A. §§ 63-4103:
 - (a) Shall have concurrent authority with the Board members and any individual <u>chiropractic</u> <u>physician</u><u>chiropractor</u> designated by the Board pursuant to <u>R</u>rule 0260-02-.19 (7), to do the acts enumerated therein and subject to the conditions contained therein.
 - A Screening panel(s) comprised of three (3) or more persons shall elect a chairperson prior to convening to conduct business. The screening panel(s) shall include at least one (1) but no more than three (3) licensed <u>chiropractic physicians</u> chiropractor who may be members of the <u>B</u>board or may serve voluntarily or through employment by or under contract with the <u>B</u>board.
 - 2. A Screening panel(s) comprised of two (2) or more persons is required to conduct the informal hearings authorized in subparagraph (b) immediately below.
 - (b) After review of a complaint by the Board's consultant, or after completion of an investigation by the Division, a screening panel may upon request of either the state, or the licensee who is the subject of an investigation, or upon agreement of both the licensee and the state, conduct a non-binding informal hearing and make recommendations as a result thereof as to what, if any, terms of settlement of any potential disciplinary action are appropriate.

- 1. Neither the Rules of Civil Procedure, the <u>R</u>rules of Evidence or Contested Case Procedural Rules under the Administrative Procedures Act shall apply in informal hearings before the screening panels(s).
 - (i) Evidence may be presented or received in any manner and in whatever order agreed upon by the parties.
 - (ii) Prior to convening the panel and in the absence of an agreement of the parties, the screening panel chairperson shall determine the manner and order of presentation of evidence.
- 2. Informal hearings may be conducted without the participation of the licensee who is the subject of the investigation.
- 3. A licensee who is the subject of an investigation being considered by a screening panel cannot be compelled to participate in any informal hearing.
- 4. It is not required that prior or subsequent notice of any informal hearing be given to any licensee who is the subject of an investigation being considered by a screening panel.
- 5. Proposed settlements reached as a result of any informal hearing will not become binding and final unless they are:
 - (i) Approved by a majority of the members of the screening panel which issued them; and
 - (ii) Agreed to by both the Department of Health, by and through its attorney(s), and the licensee; and
 - (iii) Subsequently presented to and ratified by the Board or a duly constituted panel of the Board.

(6) Subpoenas

(a) Purpose - Although this rule applies to persons and entities other than <u>chiropractic</u> <u>physicians chiropractors</u>, it is the Board's intent as to <u>chiropractic physicians chiropractors</u> that they be free to comprehensively treat and document treatment of their patients without fear that the treatment or its documentation will be unduly subjected to scrutiny outside the profession. Consequently, balancing that intent against the interest of the public and patients to be protected against substandard care and activities requires that persons seeking to subpoena such information and/or materials must comply with the substance and procedures of these rules. It is the intent of the Board that the subpoena power outlined herein shall be strictly proscribed. Such power shall not be used by the division or board investigators to seek other incriminating evidence against <u>chiropractic physicians</u> chiropractors when the division or board does not have a complaint or basis to pursue such an investigation. Thus, unless the division or its investigators have previously considered, discovered, or otherwise received a complaint from either the public or a governmental entity, then no subpoena as contemplated herein shall issue.

- (b) Definitions As used in this chapter of rules the following words shall have the meanings ascribed to them:
 - 1. Probable Cause
 - (i) For Investigative Subpoenas shall mean that probable cause, as defined by case law at the time of request for subpoena issuance is made, that a violation of the Chiropractic Practice Act or rules promulgated pursuant thereto has occurred or is occurring and that it is more probable than not that the person(s), or items to be subpoenaed possess or contain evidence which is more probable than not relevant to the conduct constituting the violation.
 - (ii) The utilization of the probable cause evidentiary burden in proceedings pursuant to this rule shall not in any way, nor should it be construed in any way to establish a more restrictive burden of proof than the existing preponderance of the evidence in any civil disciplinary action which may involve the person(s) or items that are the subject of the subpoena.
 - 2. Presiding Officer For investigative subpoenas shall mean any elected officer of the Bboard, or any duly appointed or elected chairperson of any panel of the Bboard, or any screening panel, and any hearing officer, arbitrator or mediator.
- (c) Procedures
 - 1. Investigative Subpoenas
 - (i) Investigative subpoenas are available only for issuance to the authorized representatives of the Tennessee Department of Health, its investigators, and its legal staff.
 - (ii) An applicant for such a subpoena must either orally or in writing notify the Board Unit Director of the intention to seek issuance of a subpoena. That notification must include the following:
 - (I) The time frame in which issuance is required so the matter can be timely scheduled; and
 - (II) A particular description of the material or documents sought, which must relate directly to an ongoing investigation or contested case, and shall, in the instance of documentary materials, be limited to the records of the patient or patients whose complaint, complaints, or records are being considered by the division or board.
 - In no event shall such subpoena be broadly drafted to provide investigative access to chiropractic records of other patients who are not referenced either in the notice of charges or a complaint received from an individual or governmental entity, or who have not otherwise sought 31

relief, review, or board consideration of a <u>chiropractic</u> <u>physician's</u> <u>chiropractor's</u> conduct, act, or omission; and

- (III) Whether the proceedings for the issuance is to be conducted by physical appearance or electronic means; and
- (IV) The name and address of the person for whom the subpoena is being sought, or who has possession of the items being subpoenaed.
- (iii) The Board's Unit Director shall cause to have the following done:
 - (I) In as timely a manner as possible arrange for either an elected officer of the <u>B</u>board, or any screening panel, or any hearing officer, arbitrator or mediator to preside and determine if the subpoena should be issued; and
 - (II) Establish a date, time and place for the proceedings to be conducted and notify the presiding officer, the applicant and the court reporter; and
 - (III) Maintain a complete record of the proceedings including an audio tape in such a manner as to:
 - I. Preserve a verbatim record of the proceeding; and
 - II. Prevent the person presiding over the proceedings and/or signing the subpoena from being allowed to participate in any manner in any disciplinary action of any kind formal or informal which may result which involves either the person or the documents or records for which the subpoena was issued.

(iv) The Proceedings

- (I) The applicant shall do the following:
 - I. Provide for the attendance of all persons whose testimony is to be relied upon to establish probable cause; and
 - II. Produce and make part of the record copies of all documents to be utilized to establish probable cause; and
 - III. Obtain, complete and provide to the presiding officer a subpoena which specifies the following:
 - A. The name and address of the person for whom the subpoena is being sought or who has possession of the items being subpoenaed; and

- B. The location of the materials, documents or reports for which production pursuant to the subpoena is sought if that location is known; and
- C. A brief, general description of any items to be produced pursuant to the subpoena; and
- D. The date, time and place for compliance with the subpoena.
- IV. Provide the presiding officer testimony and/or documentary evidence which in good faith the applicant believes is sufficient to establish that probable cause exists for issuance of the subpoena as well as sufficient proof that all other reasonably available alternative means of securing the materials, documents or items have been unsuccessful.
- (v) The Presiding Officer shall do the following:
 - (I) Be selected only after assuring the Board Unit Director that he or she has no prior knowledge of or any direct or indirect interest in or relationship with the person(s) being subpoenaed and/or the licensee who is the subject of the investigation; and
 - (II) Commence the proceedings and swear all necessary witnesses; and
 - (III) Hear and maintain the confidentiality, if any, of the evidence presented at the proceedings; and
 - (IV) Control the manner and extent of inquiry during the proceedings and be allowed to question any witness who testifies; and
 - (V) Determine based solely on the evidence presented in the proceedings whether probable cause exists and if so, issue the subpoena for the person(s) or items specifically found to be relevant to the inquiry; and
 - (VI) Sign the subpoena as ordered to be issued; and
 - (VII) Not participate in any way in any other proceeding whether formal or informal which involves the matters, items or person(s) which are the subject of the subpoena. This does not preclude the presiding officer from presiding at further proceedings for issuance of subpoenas in the matter.

- 2. Post-Notice of Charges Subpoenas If the subpoena is sought for a contested case hearing pursuant to Title 4, Chapter 5 of the Tennessee Code Annotated, this definition shall not apply. All such post-notice of charges subpoenas should be obtained from the office of the Administrative Procedures Division of the Office of the Secretary of State pursuant to the Uniform Administrative Procedures Act and rules promulgated pursuant thereto.
- (d) Subpoena Forms
 - 1. All subpoena shall be issued on forms approved by the Board.
 - 2. The subpoena forms may be obtained by contacting the Board's Administrative Office.
- (e) Subpoena Service Any method of service of subpoenas authorized by the Tennessee Rules of Civil Procedure or the <u>R</u>rules of procedure for contested cases of the Tennessee Department of State, Administrative Procedures Division may be utilized to serve subpoenas pursuant to this rule.
- (7) Assessment of costs in disciplinary proceedings shall be as set forth in T.C.A. §§ 63-1-144 and 63-4-115.

Authority: T.C.A. §§ <u>63-1-134</u>, <u>63-1-144</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>63-4-123</u>, <u>4-5-202</u>, <u>4-5-204</u>, <u>4-5-217</u>, <u>4-5-223</u>, <u>4-5-225</u>, <u>4-5-311</u>, <u>63-1-117</u>, <u>63-1-122</u>, <u>63-1-134</u>, <u>63-1-144</u>, <u>63-4-102</u>, <u>63-4-104</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>and <u>63-4-115</u>. Administrative History: Original rule filed December 28, 1995; effective March 12, 1996. Notice of withdrawal to rule 0260-02-.15(4)(c) filed and effective November 23, 1999. Amendment filed November 22, 1999; effective February 5, 2000. Amendment filed December 14, 1999; effective February 22, 2000. Amendment filed August 26, 2002; effective November 9, 2002. Amendment filed August 2, 2004; effective October 16, 2004. Amendment filed February 1, 2006; effective April 17, 2006. Amendment filed October 29, 2008; effective January 12, 2009.</u>

0260-02-.19 BOARD MEMBERS, OFFICERS, CONSULTANTS, RECORDS, DECLARATORY ORDERS, AND ADVISORY RULINGS.

- (1) Description of Organization
 - (a) The Board of Chiropractic Examiners is composed by law of seven members appointed by the governor to staggered terms of five (5) years. Each member shall serve until a successor is appointed.
 - (b) The composition of the Bboard shall include five (5) licensed chiropractic physicians who are residents in Tennessee and have been actively engaged in practice of their profession in Tennessee for a period of at least five (5) years; and two (2) consumer members who are not affiliated with the practice of chiropractic.
 - (c) Appointments are made from a list of qualified candidates by the governor.
- (2) Purpose of the Board

- (a) The <u>B</u>board is charged by law with the responsibility of regulating the practice of chiropractic in order to protect the citizens of Tennessee, additionally;
- (b) The Bboard, by formulating and administering examinations, credentializes candidates for licensure and continues this credentialization process by approving educational seminars for licensees in appropriate subject material, or specific educational requirements for an individual licensee as conditions that may be appropriate as the results of a censure, reprimand or action taken in a formal hearing conducted or ratified by the Bboard.
- (3) Board Meetings
 - (a) The time, place, and frequency of board meetings shall be at the discretion of the Beoard, except at least one meeting shall be held annually.
 - (b) Special meetings are called at the discretion of the Beoard president or at the written request from four (4) members of the Beoard.
 - (c) Four members of the **B**-board shall at all times constitute a quorum.
 - (d) All meetings of the **B**board shall be open to the public.
- (4) The **B**board shall elect from its members the following officers:
 - (a) President who shall preside at all board meetings, appoint committees and correspond with other board members when appropriate. <u>The president, along with the Board Unit</u> <u>Director, when authorized, shall be responsible for correspondence from the Board and to</u> <u>execute all official documents which require the seal of the Board to be affixed.</u>
 - (b) Vice President whose duties will be to preside at a particular meeting in the absence or unavailability of the president. To serve only upon the issuance of notification from the president which will also be given to the Bboard Unit Director.
 - (c) Secretary who along with the Bboard Unit Director shall be responsible for correspondence from the Bboard and to execute all official documents which require the seal of the Bboard to be affixed.
- (5) Responsibilities of the Beoard include, but are not limited to:
 - (a) Adopt and revise rules and regulations as may be necessary to carry out its powers and duties.
 - (b) Adopt and/or administer examinations;
 - (c) Examine for, deny, withhold, or approve the license of applicants and renew licenses;
 - (d) Appoint designee(s) to assist in the performance of its duties, (i.e., examination proctors); and
 - (e) Conduct hearings.

- (6) Board Conflict of Interests Any board member having an immediate personal, private, or financial interest in any matter pending before the Bboard shall disclose the fact in writing, and shall not vote upon such matter.
- (7) The Board shall not delegate its authority to select a Board consultant who shall serve as a consultant to the Division and who is vested with the authority to do the following acts:
 - (a) Meet with the Board attorney to review complaints received against licensees.
 - (b) Acting in concert with the attorney to send warning letters of a non-disciplinary nature to licensees, where appropriate, and close complaint files determined by the consultant and the attorney not to constitute violations of the practice act.
 - (c) Recommend whether and what type disciplinary actions should be instituted as the result of complaints received or investigations conducted by the Division.
 - (d) Recommend whether and/or what terms a disciplinary action might be settled. Any disciplinary matter proposed for settlement must be subsequently reviewed, evaluated and ratified by the full board before it becomes effective.
 - (e) Undertake any other matter authorized by a majority vote of the <u>B</u>board.
 - (f) When utilized, the composition of Board consultants may include three (3) licensed chiropractic physicians who are residents in Tennessee and have been actively engaged in practice of their profession in Tennessee for a period of at least ten (10) years.
 - (g) The Board consultants' licenses shall be unencumbered and in good standing prior to and throughout their term without any prior disciplinary action unless approved by the Board.
 - (h) The Board consultant may be appointed by the Board for a term of up to five (5) years. The Board will appoint one of its members to consult with the Board attorney and an official from the office of investigations to review the current status and continued qualifications of the consultant toward the end of their term. An additional term may be granted upon approval from the Board.
 - (i) Any consultant having an immediate personal, private, or financial interest in any matter pending before the Board or being investigated shall disclose the fact in writing.
- (8) Records and Complaints
 - (a) All requests, applications, notices, other communications and correspondence shall be directed to the board's administrative office. Any requests or inquiries requiring a board decision or official board action, except documents relating to disciplinary actions or hearing request, must be received 14 days prior to a scheduled board meeting and will be retained in the board's administrative office and presented to the board at the board meeting.

- (b) All records of the <u>B</u>board, except those made confidential by law, are open for inspection and examination, under the supervision of an employee of the Division at the <u>B</u>board's administrative office during normal business hours.
- (c) Copies of public records shall be provided to any person upon payment of the cost of copying.
- (d) Complaints made against a licensee become public information only upon the filing of a notice of charges by the Department of Health.
- (e) All complaints should be directed to the Investigations Section of Health Related Boards.
- (9) Declaratory Orders The Board adopts, as if fully set out herein, rule 1200-10-01-.11, of the Division of Health Related Boards and as it may from time to time be amended, as its rule governing the declaratory order process. All declaratory order petitions involving statutes, rules or orders within the jurisdiction of the Board shall be addressed by the Board pursuant to that rule and not by the Division.

Declaratory Order Petition forms can be obtained from the Board's administrative office.

- (10) Advisory Rulings Any person who is affected by any matter within the jurisdiction of the Board and who holds a license issued pursuant to Chapter 4 of Title 63 of the Tennessee Code Annotated, may submit a written request for an advisory ruling subject to the limitations imposed by T.C.A. § 63-4-103(4). The procedures for obtaining and issuance of advisory rulings are as follows:
 - (a) The licensee shall submit the request to the Board Administrative Office on the form contained in subparagraph (e) providing all the necessary information; and
 - (b) The request, upon receipt, shall be referred to the Board's administrative staff for research, review and submission of a proposed ruling to the Board for its consideration at the next meeting after the draft ruling has been approved by the Board's consultant and advisory attorney; and
 - (c) The Board shall review the proposed ruling and either make whatever revisions or substitutions it deems necessary for issuance or refer it back to the administrative staff for further research and drafting recommended by the Board; and
 - (d) Upon adoption by the Board the ruling shall be transmitted to the requesting licensee. The ruling shall have only such effect as is set forth in T.C.A. § 63-4-103(4).
 - (e) Any request for an advisory ruling shall be made on the following form, a copy of which may be obtained from the Board's Administrative Office:

Board of Chiropractic Examiners Request for Advisory Ruling

Date: _____ Licensee's Name: _____

Licens	see's Address:		
		Zip Code:	-
Licens	se Number:		
1.	The specific	question or issue for which the ruling is	requested:
2.	The facts	that gave rise to the specific question	or issue:
3.	The specific st	atutes and/or rules which are applicable to the questio	n or issue:
	Mail or Deliver	Licensees Signature to: Administrator Tennessee Board of Chiropractic Examiners 665 Mainstream Drive Nashville, TN 37243	

(11) The Board authorizes the member who chaired the Board for a contested case to be the agency member to make the decisions authorized pursuant to <u>R</u>-ule 1360-04-01-.18 regarding petitions for reconsiderations and stays in that case.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>, <u>4-5-202</u>, <u>4-5-204</u>, <u>63-4-103</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>and <u>63-4-115</u>. Administrative History: Original rule filed December 28</u>, <u>1995</u>; effective March 12, <u>1996</u>. Amendment filed November 22, <u>1999</u>; effective February 5, <u>2000</u>. Amendment filed December 13, <u>2000</u>; effective February 26, <u>2001</u>. Amendment filed August 26, <u>2002</u>; effective November 9, <u>2002</u>. Amendments filed October 10, <u>2005</u>; effective December 24, <u>2005</u>. Amendment filed March 16, <u>2007</u>; effective May 30, <u>2007</u>.</u>

0260-02-.20 ADVERTISING.

(1) Policy Statement. The lack of sophistication on the part of many members of the public concerning chiropractic services, the importance of the interests affected by the choice of a chiropractic physician and the foreseeable consequence of unrestricted advertising by chiropractic physicians, which is recognized to pose special possibilities for deception, require that special care be taken by chiropractic physicians to avoid misleading the public. The chiropractic physician must be mindful that advertising by chiropractic physicians is calculated and not spontaneous, reasonable regulation designed to foster compliance with appropriate standards serves the public interest without impeding the flow of useful, meaningful, and relevant information to the public.

- (2) Definitions.
 - (a) Advertisement Informational communication, as further defined in <u>R</u>rule 0260-02-.01(1), to the public in any manner designed to attract public attention to the practice of a chiropractic physician who is licensed to practice in Tennessee.
 - (b) Material Fact. Any fact which an ordinary reasonable and prudent person would need to know or rely upon in order to make an informed decision concerning the choice of practitioners to serve his own particular needs.
 - (c) Bait and Switch Advertising. An alluring but insincere offer to sell a product or service which the advertiser in truth does not intend or want to sell. Its purpose is to switch consumers from buying the advertised merchandise, in order to sell something usually at a higher fee or on a basis more advantageous to the advertiser.
 - (d) Discounted Fee shall mean a fee offered or charged by a person or organization for any product or service that is less than the person or organization usually offers or charges for the product or service. Products or services expressly offered free of charge shall not be deemed to be offered at a "discounted fee."
- (3) Advertising Fees and Services.
 - (a) Fixed Fees. Fixed fees may be advertised for any service. It is presumed unless otherwise stated in the advertisement that a fixed fee for a service shall include the cost of all professionally recognized components within generally accepted standards that are required to complete the service.
 - (b) Range of Fees. A range of fees may be advertised for services and the advertisement must disclose the factors used in determining the actual fee, necessary to prevent deception of the public.
 - (c) Discount Fees. Discount fees may be advertised if
 - 1. The discount fee in fact is lower than the licensee's customary or usual fee charge for the service; and
 - 2. <u>T</u>the licensee provided the same quality and components of service and material at the discounted fee that are normally provided at the regular non-discounted fee for that service.
 - (d) Related Services and Additional Fees. Related services which may be required in conjunction with the advertised service for which additional fees will be charged must be identified as such in any advertisement.

- (e) Time Period of Advertised Fees. Advertised fees shall be honored for those seeking the advertised services during the entire time period stated in the advertisement whether or not the services are actually rendered or completed within that time.
 - 1. If no time period is stated in the advertisement of fees, the advertised fee shall be honored for thirty (30) days from the last date of publication or until the next scheduled publication whichever is later whether or not the services are actually rendered or completed within that time.
- (4) Advertising Content. The following acts or omissions in the context of advertisement: by any licensee shall constitute unethical and unprofessional conduct, and subject the licensee to disciplinary action pursuant to T.C.A. § 63-4-114.
 - (a) Claims that the services performed, personnel employed, materials or office equipment used are professionally superior to that which is ordinarily performed, employed, or used, or that convey the message that one licensee is better than another when superiority of services, personnel, materials or equipment cannot be substantiated.
 - (b) The misleading use of an unearned or non-health degree in any advertisement.
 - (c) Promotion of a professional service which the licensee knows or should know is beyond the licensee's ability to perform.
 - (d) Statements that the licensee is a certified acupuncturist.
 - (e) Techniques of communication which intimidate, exert undue pressure or undue influence over a prospective patient.
 - (f) Any appeals to an individual's anxiety in an excessive or unfair manner.
 - (g) The use of any personal testimonial attesting to a quality of competence of a service or treatment offered by a licensee that is not reasonably verifiable.
 - (h) Utilization of any statistical data or other information based on past performances or predication of future services, which creates an unjustified expectation about results that the licensee can achieve.
 - (i) The communication of personally identifiable facts, data, or information about a patient without first obtaining patient consent.
 - (j) Any misrepresentation of a material fact.
 - (k) The knowing suppression, omission or concealment of any material fact or law without which the advertisement would be deceptive or misleading.
 - (I) Statements concerning the benefits or other attributes of chiropractic procedures or products that involve significant risks without including:

- 1. A realistic assessment of the safety and efficiency of those procedures or products; and
- 2. The availability of alternatives; and
- 3. Where necessary to avoid deception, descriptions or assessment of the benefits or other attributes of those alternatives.
- (m) Any communication which creates an unjustified expectation concerning the potential results of any treatment.
- (n) Failure to comply with the rules governing advertisement of fees and services and advertising records.
- (o) The use of "bait and switch" advertisements. Where the circumstances indicate "bait and switch" advertising, the Board may require the licensee to furnish to the Bboard or its designee data or other evidence pertaining to those sales at the advertised fee as well as other sales.
- (p) Misrepresentation of a licensee's credentials, training, experience or ability.
- (q) Failure to include the corporation, partnership or individual licensee's name and address and telephone number in any advertisement. Any corporation, partnership or association which advertises by use of a trade name or otherwise fails to list all licensees practicing at a particular location shall:
 - 1. Upon request provide a list of all licensees practicing at that location; and
 - 2. Maintain and conspicuously display at the licensee's office, a directory listing all licensees practicing at that location.
- (r) Failure to disclose that fact of giving compensation or anything of value to representatives of the press, radio, television or other communicative medium in anticipation of or in return for any advertisement (for example, newspaper article) unless the nature, format or medium of such advertisement make the fact of compensation apparent.
- (s) After thirty (30) days, the use of the name of any licensee formerly practicing at or associated with any advertised location or on office signs or buildings. This rule shall not apply in the case of a retired or deceased former associate who practiced in association with one or more of the present occupants if the status of the former associate is disclosed in any advertisement or sign.
- (t) Stating or implying that a certain licensee provides all services when any such services are performed by another licensee.
- (u) Directly or indirectly offering, giving, receiving, or agreeing to receive any fee or other consideration to or from a third party for the referral of a patient in connection with the performance of professional services.
- (v) Misleading claims or offers on internet or social media platforms.

- (5) Advertising Records and Responsibility.
 - (a) Each licensee who is a principal partner, or officer of a firm or entity identified in any advertisement, is jointly and severally responsible for the form and content of any advertisement. This provision shall also include any licensed professional employees acting as an agent of such firm or entity.
 - (b) Any and all advertisements are presumed to have been approved by the licensee named therein.
 - (c) A recording of every advertisement communicated by electronic media, and a copy of any other form of advertisement shall be retained by the licensee for a period of two (2) years from the last date of broadcast or publication and be made available for review upon request by the Beoard or its designee.
 - (d) Severability. It is hereby declared that the sections, clauses, sentences and parts of these rules are severable, are not matters of mutual essential inducement, and any of them shall be exscinded if these rules would otherwise be unconstitutional or ineffective. If any one or more sections, clauses, sentences or parts shall for any reasons be questioned in any court, and shall be adjudged unconstitutional or invalid, such judgment shall not affect, impair or invalidate the remaining provisions thereof, but shall be confined in its operation to the specific provision or provisions so held unconstitutional or invalid, and the inapplicability or invalidity of any section, clause, sentence or part in any one or more instances shall not be taken to affect or prejudice in any way its applicability or validity in any other instance.
- (6) Telemarketing.
 - (a) Telemarketing or telephonic solicitation by licensees, their employees, or agents to victims of accidents or disaster shall be considered unethical if carried out within thirty (30) days of the accident or disaster, and subject the licensee to disciplinary action pursuant to T.C.A. § 63-4-114.
 - (b) Telemarketing transcripts shall be maintained for a period of two (2) years following their utilization.
 - (c) A log of contacts must be maintained for a period of two (2) years following the telemarketing encounter.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>4-<u>5-202</u>, <u>4-5-204</u>, <u>63-4-106</u>, <u>63-4-114</u>, <u>and <u>63-4-122</u>. Administrative History: Original rule filed December 28, <u>1995</u>; <u>effective March 12</u>, <u>1996</u>. Amendment filed February <u>12</u>, <u>1996</u>; <u>effective April 27</u>, <u>1996</u>. Amendment filed November <u>22</u>, <u>1999</u>; <u>effective February 5</u>, <u>2000</u>. Amendment filed April <u>10</u>, <u>2007</u>; <u>effective June 24</u>, <u>2007</u>.</u></u>

0260-02-.21 CHIROPRACTIC PRECEPTOR PROGRAM.

(1) Applications for Preceptor Program An application will be considered by the Bboard or its designee, based upon;

- (a) If the preceptor named in the application is in good standing with the <u>B</u>board.
- (b) If the application for the chiropractic preceptor program includes an intern who is serving his/her internship with a chiropractic college accredited by the Council on Chiropractic Education (CCE), <u>or its successor</u>, registered with the Commission on Accreditation of the U.S. Department of Education.
- (2) Conditions for Practicing Under an Preceptor Program
 - (a) An intern must have approval from the chiropractor preceptor of the findings/diagnosis and case management plan of all patients assigned for examination and care before commencing treatment. With the approval, an intern will be allowed to perform all diagnostic tests and therapeutic interventions as provided in T.C.A. § 63-4-101.
 - (b) The preceptor program will be discontinued upon graduation of the intern.
 - (c) In the event of a vacation or a prolonged illness the chiropractor preceptor must make arrangements for continued supervision by a licensed chiropractic physician. These arrangements must include obtaining a letter from the new chiropractor preceptor addressed to the previous preceptor stating that he/she will take the responsibility for the supervision as set out in subparagraph (2)(a) above. The previous preceptor must also forward a copy of the letter to the Board Administrative Office.
 - (d) Collection of fees for services and filing claims to third-party-payers must be in the name of the chiropractor preceptor (attending physician).
- (3) Supervision An intern must be supervised by a chiropractic physician licensed in Tennessee in good standing for at least four (4) years. The preceptor shall be on the facility premises while the intern is conducting patient care.

Authority: T.C.A. §§ <u>63-4-106</u>, <u>63-4-114</u>, <u>63-4-119</u>, <u>63-4-120</u>, <u>63-4-121</u>, <u>63-4-122</u>, <u>and <u>63-4-123</u>4-<u>5-202</u>, <u>4-5-204</u>, <u>63-4-106</u>, <u>63-4-107</u>, <u>63-4-108</u>, <u>63-4-120</u>, <u>and <u>63-4-121</u>. Administrative History: Original rule filed December 28, 1995; effective March 12, 1996. Amendments filed February 17, 2017; effective May 18, 2017.</u></u>

0260-02-.22 SUBSTANTIATION OF SERVICES. It is intended that these procedures are the accepted standard(s) and anything less than this shall be considered unprofessional and unethical conduct in the practice of chiropractic and may subject a licensee to disciplinary action pursuant to T.C.A. §§ 63-4-114 (4).

- (1) These standards apply to all licensed chiropractic physicians. These standards also apply to those examinations advertised at a reduced fee or free (no charge) services.
- (2) Adequate patient records shall be legibly maintained.
- (3) Initial and follow-up services (daily records) shall consist of documentation to justify care.
- (4) If abbreviations or symbols are used in the daily recordkeeping, a key must be provided.

- (5) All patient records shall include but not be limited to:
 - (a) patient history
 - (b) subjective/objective findings
 - (c) examination
 - (d) <u>assessment/diagnosis</u>diagnosis
 - (e) plan of care/treatment, and treatment
 - (f) and reevaluation or referral.
- (6) In any disciplinary action against a chiropractic physician for any reason, the Board shall apply these minimal clinical standards.

Authority: T.C.A. §§ <u>63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123</u>4-<u>5-202, 4-5-204, 63-4-101, 63-4-106, and 63-4-114</u>. Administrative History: Original rule filed February 12, 1996; effective April 27, 1996.

0260-02-.25 FREE HEALTH CLINIC AND VOLUNTEER PRACTICE REQUIREMENTS.

- (1) Free Health Clinic Practice Pursuant to T.C.A. § 63-1-201.
 - (a) Any chiropractic physician licensed to practice chiropractic in this state or any other state who has not been disciplined by any licensure board may have his/her license converted to or receive a Tennessee "Special Volunteer License," as defined in T.C.A. § 63-1-201, which will entitle the licensee to practice without remuneration solely within a "free health clinic," as defined by T.C.A. § 63-1-201, at a specified site or setting by doing the following:
 - 1. Obtaining from the Board's administrative office a "Special Volunteer License" application, completing it and submitting it along with any required documentation to the Board's administrative office; and
 - 2. Have the licensing authority of every state in which the <u>chiropractic physician</u> chiropractorholds or ever held a license to practice submit directly to the Board's administrative office the equivalent of a "certificate of fitness" as described in T.C.A. § 63-1-118 which shows that the license has never been subjected to any disciplinary action and is free and clear of all encumbrances; and
 - 3. For <u>chiropractors</u> <u>chiropractic physicians</u> who have not been licensed in Tennessee, comply with all provisions of subparagraphs (2)(d), (2)(e), and (2)(f) of <u>R</u>rule 0260-02-.05 and the Health Care Consumer-Right-To-Know Act compiled at T.C.A. §§ 63-51-101, et seq.; and
 - 4. Submitting the specific location of the site or setting of the free health clinic in which the licensee intends to practice along with proof of the clinic's private, and not-for-profit status.

- (b) A <u>chiropractic physician chiropractor</u> holding a Special Volunteer License is not required to pay any fee for its issuance or the required biennial renewal pursuant to the Division of Health Related Board's biennial birthdate renewal system.
- (c) A chiropractic physician hiropractor holding a Special Volunteer License may not do any of the following:
 - 1. Practice chiropractic anywhere other than in the free health clinic site or setting specified in the application; and
 - 2. Charge any fee or receive compensation or remuneration of any kind from any person or third party payor including insurance companies, health plans and state or federal benefit programs for the provision of medical or any other services; and
 - 3. Practice for any free health clinic that imposes any charge on any individual to whom health care services are rendered or submits charges to any third party payor including insurance companies, health plans and state or federal benefit programs for the provision of any services.
- (d) Special Volunteer Licenses are subject to all of the following
 - 1. All rules governing renewal, retirement, reinstatement and reactivation as provided by <u>R</u>rules 0260-02-.09 and .11, except those requiring the payment of any fees; and
 - 2. The rules governing continuing education as provided by **<u>R</u>**rule 0260-02-.12; and
 - 3. Disciplinary action for the same causes and pursuant to the same procedures as all other licenses issued by the Board.
- (2) Practice Pursuant to the "Volunteer Health Care Services Act" T.C.A. §§ 63-6-701, et seq.
 - (a) Any <u>chiropractic physician chiropractor</u> licensed in this or any other state, territory, district or possession of the United States whose license is not under a disciplinary order of suspension or revocation may practice chiropractic in this state but only under the auspices of an organization that has complied with the provisions of this rule and T.C.A. §§ 63-6-701 through 63-6-707 and <u>R</u>rule 1200-10-01-.12 of the Division of Health Related Boards.
 - (b) Any person who may lawfully practice chiropractic in this or any other state, territory, district or possession of the United States under an exemption from licensure and who is not under a disciplinary order of suspension or revocation and who is not and will not "regularly practice," as defined by T.C.A. § 63-6-703(3) may practice chiropractic in this state but only under the auspices of an organization that has complied with the provisions of this rule and T.C.A. §§ 63-6-701 through 63-6-707 and <u>R</u>rule 1200-10-01-.12 of the Division of Health Related Boards.
 - (c) A <u>chiropractic physician chiropractor</u> or anyone who practices under an exemption from licensure pursuant to this rule may not charge any fee or receive chiropractic compensation or remuneration of any kind from any person or third party payor including insurance

companies, health plans and state or federal benefit programs for the provision of medical or any other services; and may not practice for any organization that imposes any charge on any individual to whom health care services are rendered or submits charges to any third party payor including insurance companies, health plans and state or federal benefit programs for the provision of any services.

- (d) Any organization that organizes or arranges for the voluntary provision of health care services on residents of Tennessee may utilize persons described in subparagraphs (a) and (b) to practice chiropractic only when it has complied with the provisions of T.C.A. §§ 63-6-701 through 63-6-707 and <u>R</u>Fule 1200-10-01-.12 of the Division of Health Related Boards.
- (3) Application review and licensure decisions for these types of licensure or organization registration shall be governed by <u>R</u>rule 0260-02-.07.
- (4) Chiropractic physicians may obtain continuing education credits for volunteer practice pursuant to T.C.A § 63-6-712 and in compliance with continuing education approval criteria.

Authority: T.C.A. §§ <u>63-1-201</u>, 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, 63-4-123, and <u>63-6-701</u> through <u>63-6-707</u> 4-5-202, 4-5-204, 63-1-201, 63-6-701 through <u>63-6-707</u>, 63-4-103, 63-4-106, 63-4-107, and <u>63-4-124</u>. Administrative History: Original rule filed October 10, 2005; effective December 24, 2005.

0260-02-.27 THE PRACTICE OF TELEHEALTH OR TELEMEDICINE

No person shall engage in the practice of chiropractic, either in person or remotely using information transmitted electronically or through other means, on a patient within the state of Tennessee unless duly licensed by the Board in accordance with the provisions of the current statutes and rules. Unless specifically set out in this rule, this rule is not intended to and does not supersede any pre-existing federal or state statutes or rules and is not meant to alter or amend the applicable standard of care in any particular healthcare field or to amend any requirement for the establishment of a chiropractic physician-patient relationship.

- (1) Definitions -
 - (a) Facilitator The facilitator is an individual often affiliated with a local system of care or a parent or legal guardian of the patient. The facilitator must be physically present with the patient and is responsible for verifying the identity and location of the patient and for the origination, collection and transmission of data in the form of images or clinical data to the chiropractic physician performing the evaluation remotely.
 - (b) Medical interpretation The performance of a medical interpretation by a chiropractic physician is the rendering of a diagnosis regarding a particular patient by patient history, observation, examination of radiologic imaging studies, advanced imaging, laboratory reports (including, but not limited to urine, blood, saliva and hair) or medical records requested by another physician or licensed health care provider.
 - (c) Patient encounter The rendering of a documented medical opinion concerning evaluation, diagnosis, and/or treatment of a patient whether the chiropractic physician is

physically present in the same room, in a remote location within the state or across state lines.

- (d) Chiropractic physician-patient relationship A chiropractic physician-patient relationship is created by mutual consent and mutual communication, except in an emergency, between the patient and the chiropractic physician. The consent by the patient may be expressed or implied consent; however, the chiropractic physician-patient relationship is not created simply by the receipt of patient health information by a provider unless a prior chiropractic physician-patient relationship exists. The duties and obligations created by the relationship do not arise until the healthcare provider:
 - 1. Affirmatively undertakes to diagnose or treat the patient; or
 - 2. Affirmatively participates in the diagnosis or treatment.
- (e) Store-and-forward technology Defined by Tenn. Code Ann. 63-1-155.
- (2) Effect of License The issuance by the Board of a license to practice chiropractic subjects the licensee to the jurisdiction of the Board in all matters set forth in the Chiropractic Practice Act and implementing rules and regulations, including all matters related to discipline. The licensee agrees to produce patient medical records and materials as requested by the Board and to appear before the Board upon receipt of notice from the Board commanding such appearance. Failure of the licensee to appear and/or to produce records or materials as requested, after appropriate notice, shall constitute grounds to suspend or revoke the license at the Board's discretion.
- (3) Chiropractic physicians who are contractually obligated to provide and/or deliver chiropractic services in Tennessee must be licensed to practice chiropractic in Tennessee, regardless of whether such services are in exchange for direct compensation.
- (4) A chiropractic physician licensed in Tennessee may engage in the practice of telehealth <u>"telemedicine" under the following circumstances:</u>
 - (a) Except as provided under paragraphs six (6) and seven (7) of this rule, the patient encounter to establish or maintain the chiropractic physician-patient relationship via telehealth/telemedicine between the chiropractic physician in a remote location and the patient in Tennessee may occur with or without the use of a facilitator so long as such encounter is consistent with parts 1 and 2 of this Rule:
 - 1. If no facilitator is present:
 - (i) The patient must utilize adequately sophisticated technology to enable the remote provider to verify the patient's identity and location with an appropriate level of confidence;
 - (ii) The patient must transmit all relevant health information at the level of store-and-forward technology or secure video conferencing; and

- (iii) The remote provider must disclose his or her name, current and primary practice location, chiropractic degree and recognized specialty area, if any, and all additional information required pursuant to T.C.A. § 63-1-109.
- 2. If a facilitator is present:
 - (i) The facilitator must personally verify the identity of the patient; however, all relevant health information must be transmitted to the remote provider using at least the level of store-and-forward technology. The facilitator and the patient may interact with the provider at the remote location via secure video conferencing or store-and-forward technology;
 - (ii) The facilitator must identify themselves, their role, and their title to the patient and the remote chiropractic physician; and
 - (iii) The remote provider must disclose his or her name, current and primary practice location, chiropractic degree and recognized specialty area, if any, and all additional information required pursuant to T.C.A. § 63-1-109.
- (b) For patient encounters conducted via telehealth/telemedicine, the chiropractic physician should have appropriate patient record(s) or be able to obtain such information during the telehealth/telemedicine_encounter.
- (c) The chiropractic physician engaging in telehealth/telemedicine is responsible for ensuring that the medical record contains all pertinent data and information gleaned from the encounter. Any chiropractic physician conducting a patient encounter via telehealth/telemedicine must so document in the patient record and must state the technology used. All records for Tennessee patients are subject to inspection pursuant to T.C.A. § 63-1-117.
- (d) If the information transmitted through electronic or other means as part of a patient's encounter is not of sufficient quality or does not contain adequate information for the chiropractic physician to form an opinion, the chiropractic physician must declare they cannot form an opinion to make an adequate diagnosis and must request direct referral for inspection and actual physical examination, request additional data, or recommend the patient be evaluated by the patient's primary physician or other local health care provider.
- (5) A chiropractic physician licensed by the Board may, if requested to do so by another chiropractic physician licensed by the Board, engage in medical interpretation as defined in these rules and render an opinion based on data which is transmitted electronically. In such cases, the chiropractic physician providing the medical interpretation need not examine the patient and need not have the complete medical record accessible, unless the interpreting chiropractic physician believes that additional information is necessary. Any opinion rendered by such interpreting chiropractic physician must be reduced to writing which includes the name and electronic signature of the interpreting chiropractic physician.
- (6) No patient seeking care via telehealth/telemedicine who is under the age of eighteen (18) years of age can be treated unless there is a facilitator present, except as otherwise authorized by law.

(7) No person shall engage in the practice of chiropractic across state lines in this State, hold himself out as qualified to do the same, or use any title, word, or abbreviation to indicate to or induce others to believe that he is licensed to practice chiropractic across state lines in this State.

Authority: T.C.A. §§ 63-4-106, 63-4-114, 63-4-119, 63-4-120, 63-4-121, 63-4-122, and 63-4-123.